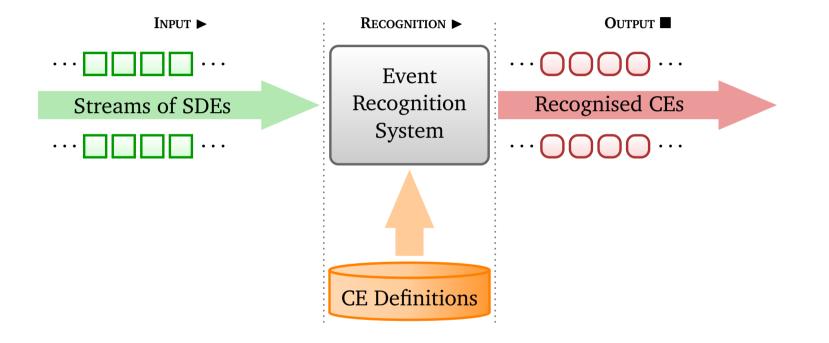
### Tutorial: Complex Event Recognition Languages

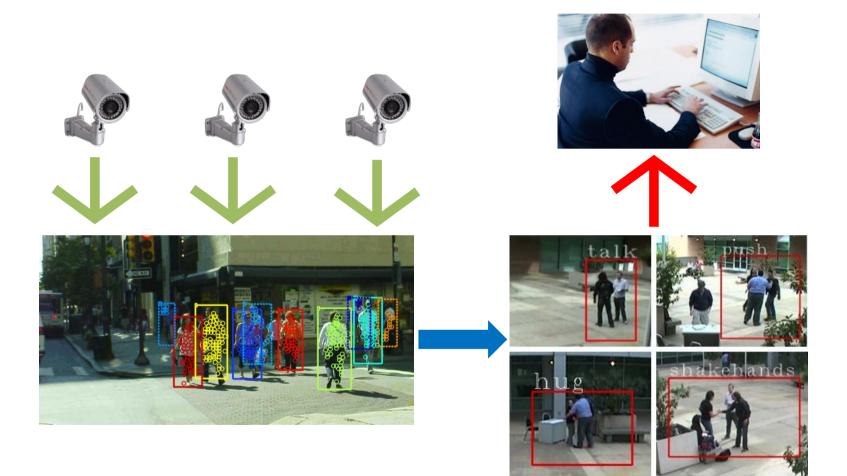
Alexander Artikis<sup>1,2</sup>, Alessandro Margara<sup>3</sup>, Martin Ugarte<sup>4</sup>, Stijn Vansummeren<sup>4</sup>, Matthias Weidlich<sup>5</sup>

<sup>1</sup>University of Piraeus, <sup>2</sup>NCSR Demokritos, <sup>3</sup>Politecnico di Milano, <sup>4</sup>Université Libre de Bruxelles, <sup>5</sup>Humboldt-Universtität zu Berlin

### Complex Event Recognition (Event Pattern Matching)



### Complex Event Recognition for Security



### **Online Recognition**

Input Output	
340 inactive(id <sub>0</sub> )	
$340 \ coord(id_0) = (20.88, -11.90)$	
340 $appear(id_0)$	
340 $walking(id_2)$	
$340 \ coord(id_2) = (25.88, -19.80)$	
340 $active(id_1)$	
$340 \ coord(id_1) = (20.88, -11.90)$	
340 $walking(id_3)$	
$340 \ coord(id_3) = (24.78, -18.77)$	
$380 walking(id_3)$	
$380 \ coord(id_3) = (27.88, -9.90)$	
$380 walking(id_2)$	
$380 \ coord(id_2) = (28.27, -9.66)$	

### **Online Recognition**

Input	Output				
340 <i>inactive</i> ( <i>id</i> <sub>0</sub> )	$since(340)$ $leaving_object(id_1, id_0)$				
$340 \ coord(id_0) = (20.88, -11.90)$					
340 $appear(id_0)$					
340 $walking(id_2)$					
$340 \ coord(id_2) = (25.88, -19.80)$					
340 <i>active</i> ( <i>id</i> <sub>1</sub> )					
$340 \ coord(id_1) = (20.88, -11.90)$					
340 $walking(id_3)$					
$340 \ coord(id_3) = (24.78, -18.77)$					
$380 walking(id_3)$					
$380 \ coord(id_3) = (27.88, -9.90)$					
$380 walking(id_2)$					
$380 \ coord(id_2) = (28.27, -9.66)$					

### **Online Recognition**

Input	Output
340 inactive(id <sub>0</sub> )	<pre>since(340) leaving_object(id1, id0)</pre>
$340 \ coord(id_0) = (20.88, -11.90)$	$since(340) moving(id_2, id_3)$
340 appear(id <sub>0</sub> )	
340 $walking(id_2)$	
$340 \ coord(id_2) = (25.88, -19.80)$	
340 <i>active</i> ( <i>id</i> <sub>1</sub> )	
$340 \ coord(id_1) = (20.88, -11.90)$	
340 $walking(id_3)$	
$340 \ coord(id_3) = (24.78, -18.77)$	
380 $walking(id_3)$	
$380 \ coord(id_3) = (27.88, -9.90)$	
380 walking(id <sub>2</sub> )	
$380 \ coord(id_2) = (28.27, -9.66)$	

- Instantaneous events.
- Context information.

- Instantaneous events.
- Context information.
- Output: durative events.
  - ► The interval may be open.

- Instantaneous events.
- Context information.
- Output: durative events.
  - ► The interval may be open.
  - Relational events.

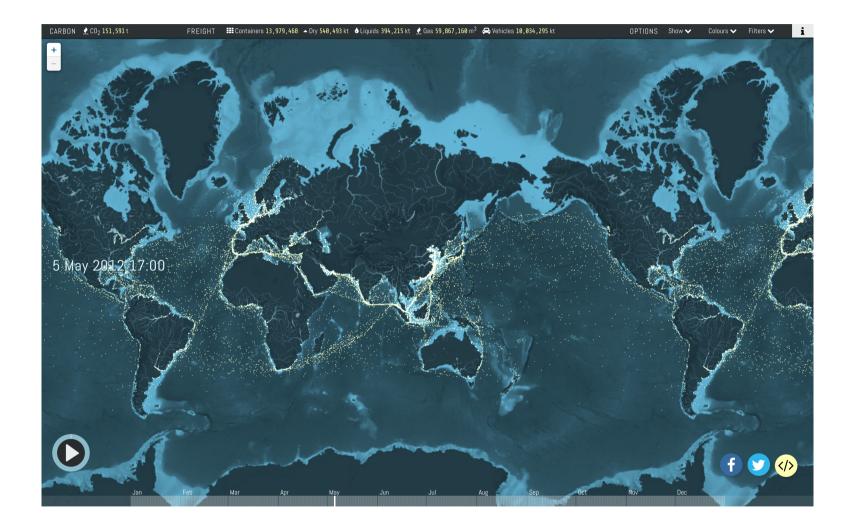
- Instantaneous events.
- Context information.
- Output: durative events.
  - The interval may be open.
  - Relational events.
  - No limit on the temporal distance between the events comprising the composite activity (no 'WITHIN' constraint).

- Instantaneous events.
- Context information.
- Output: durative events.
  - The interval may be open.
  - Relational events.
  - No limit on the temporal distance between the events comprising the composite activity (no 'WITHIN' constraint).
  - Concurrency constraints.

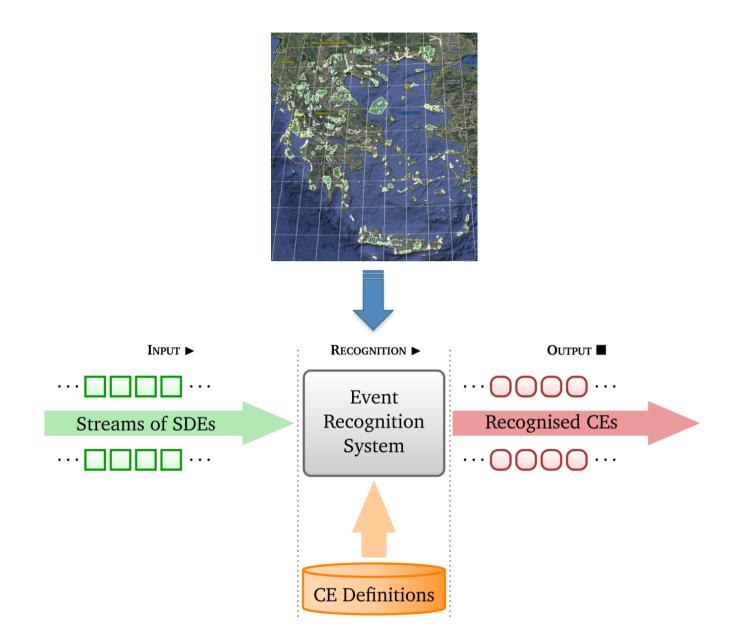
- Instantaneous events.
- Context information.
- Output: durative events.
  - The interval may be open.
  - Relational events.
  - No limit on the temporal distance between the events comprising the composite activity (no 'WITHIN' constraint).
  - Concurrency constraints.
  - Spatial reasoning.

- Instantaneous events.
- Context information.
- Output: durative events.
  - The interval may be open.
  - Relational events.
  - No limit on the temporal distance between the events comprising the composite activity (no 'WITHIN' constraint).
  - Concurrency constraints.
  - Spatial reasoning.
  - Event hierarchies.

#### Complex Event Recognition for Maritime Surveillance



#### Complex Event Recognition for Maritime Surveillance

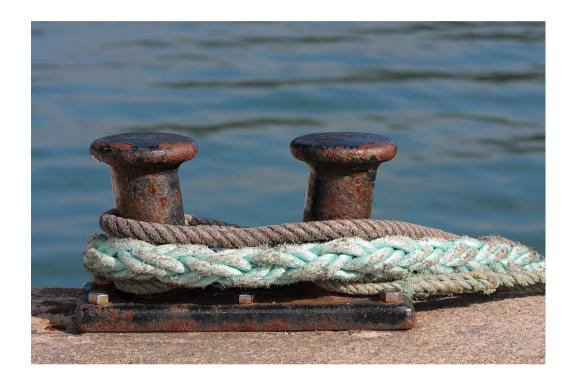


#### Fast Approach



- ► A vessel is moving at a high speed ...
- towards other vessels.

#### Suspicious Delay



- ► A vessel fails to report position ...
- and the estimated speed during the communication gap is low.

#### Possible Rendezvous



- ► Two vessels are suspiciously delayed ...
- ▶ in the same location ...
- at the same time.

- ► Input:
  - Instantaneous events.
  - Durative events.
  - Context information.

Input:

- Instantaneous events.
- Durative events.
- Context information.
- Output: durative events.
  - The interval may be open.
  - Relational events.
  - No limit on the temporal distance between the events comprising the composite activity.
  - Concurrency constraints.
  - Spatial reasoning.
  - Event hierarchies.

### Complex Event Recognition for Credit Card Fraud Management

#### Input:

Credit card transactions from all over the world.

#### Output:

- Cloned card a credit card is being used simultaneously in different countries.
- New high use the card is being frequently used in merchants or countries never used before.
- Potential batch fraud many transactions from multiple cards in the same point-of-sale terminal in high amounts.

- Instantaneous events.
- Context information.
- Output: durative events.
  - Relational & non-relational events.
  - Limited temporal distance between the events comprising fraudulent activity ('WITHIN' constraint).
  - Event sequences.
  - Spatial reasoning for some patterns.

### Agricultural Monitoring



- Input: Instantaneous events.
- Output: Instantaneous/durative events.
  - Correlation of events of different sensors.
  - Limited temporal distance between the events comprising alarming behavior ('WITHIN' constraint).
  - Event sequences ('increasing streak of temperature measurements')
  - Spatial reasoning for some patterns.

## **Tutorial Outline**

- 1. Automata-based models and methods
- 2. Tree-based models and methods
- 3. Logic-based models and methods
- 4. Outlook

# Automata-based Models and Methods

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

A wood fire is likely if low humidity is measured after high temperatures

Similar to regular expressions

Use automata to detect complex events

## Challenges

### Regex th



A symbol t <u>immediately</u> followed by a symbol h A wood fire is likely if low humidity is measured after high temperatures



An event **T** <u>eventually</u> followed by an event **H** 

## Sequencing

### Regex th

A wood fire is likely if low humidity is measured after high temperatures

{3,4}
{6,7}

htthhth 40 35 40 25 20 45 19 1 2 3 4 5 6 7

{2,4}{3,4}
{6,7}{2,5}
{3,5}{2,7}
{3,7}

## Iterations (Kleene closure)

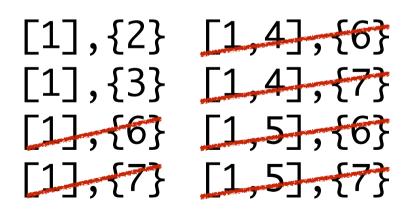
High-humidity events  $H_1, H_2, ..., H_n$ followed by a low-temperature event T

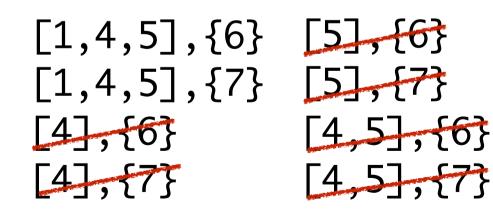
Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

### Iterations (Kleene closure)

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

h*t	h	t	t	h	h	t	t
	80	15	14	85	84	10	12
	1	2	3	4	5	6	7



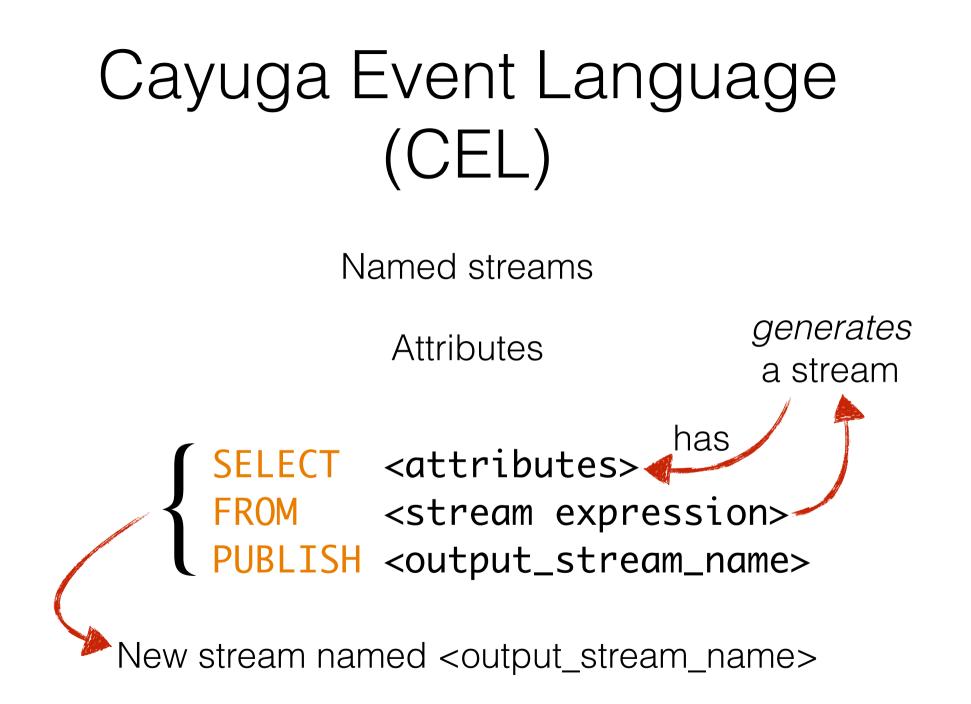


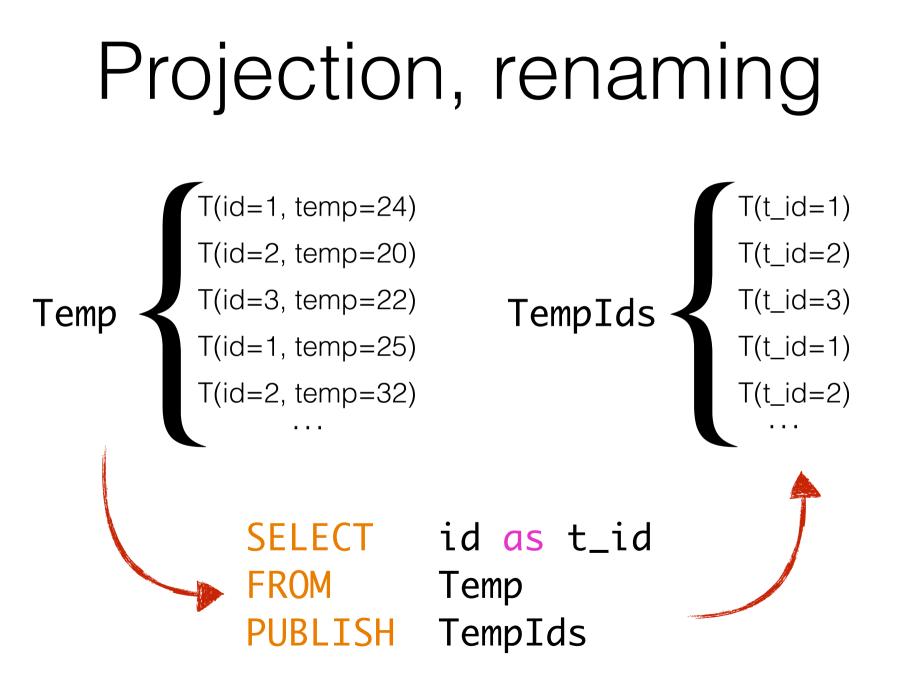


## CAYUGA

*Towards Expressive Publish/Subscribe Systems*. Alan Demers, Johannes Gehrke, Mingsheng Hong, Mirek Riedewald and Walker White; EDBT 2006

*Cayuga: A General Purpose Event Monitoring System.* Alan J. Demers, Johannes Gehrke, Biswanath Panda, Mirek Riedewald, Varun Sharma, Walker M. White; CIDR 2007





# Filtering

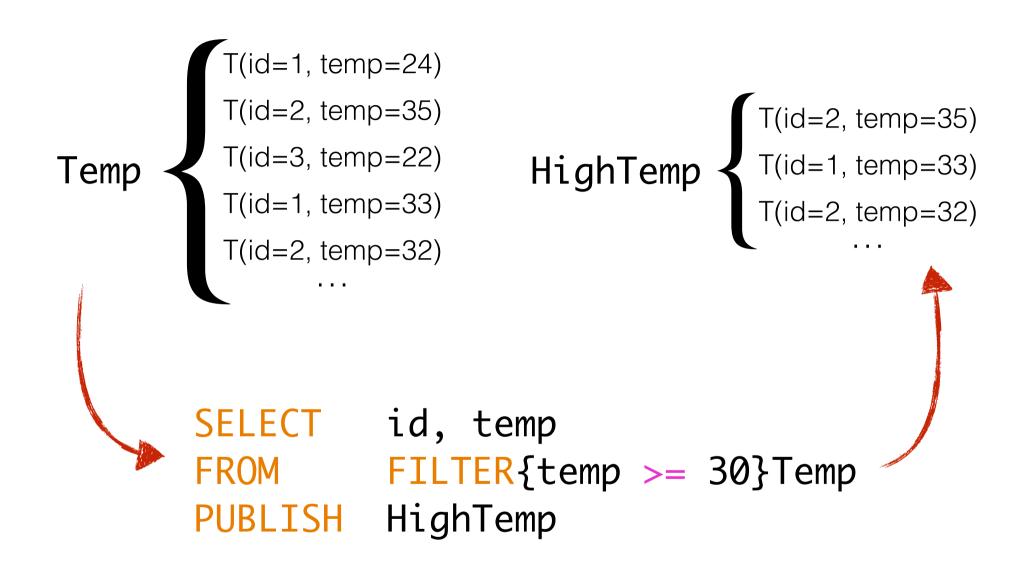
Remove all tuples with temperatures below 30 degrees

FILTER{temp >= 30} Temp

Stream expression

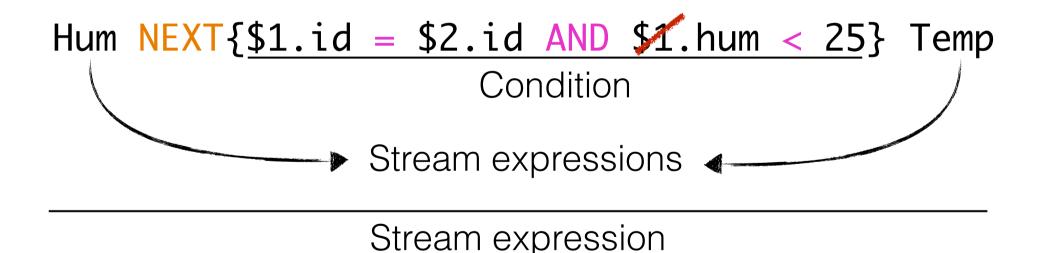
SELECT id, temp
FROM FILTER{temp >= 30}Temp
PUBLISH HighTemp

FILTER{<condition>}<stream\_expression>
 Stream expression



# T(id, temp) Sequencing H(id, hum)

Select the (next) temperature of areas in which a low humidity was detected



Output schema: (id\_1, id\_2, hum, temp)

Hum 
$$H(id=2, hum=15)_{1}$$
  
H(id=1, hum=12)\_{3}  
H(id=3, hum=22)\_{5}  
H(id=2, hum=14)\_{7}  
H(id=1, hum=18)\_{9}  
...  $Temp$   $T(id=1, temp=20)_{4}$   
T(id=3, temp=22)\_{6}  
T(id=1, temp=25)\_{8}  
T(id=2, temp=32)\_{10}...

Hum NEXT{1.id = 2.id AND hum < 25} Temp

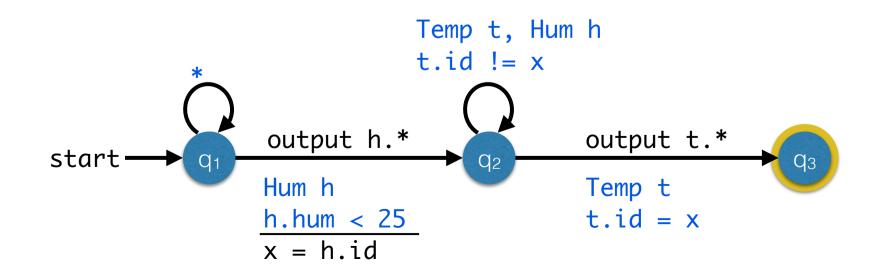
(id\_1=2, id\_2=2, hum=15, temp=20)
(id\_1=1, id\_2=1, hum=12, temp=25)
(id\_1=3, id\_2=3, hum=22, temp=22)
(id\_1=2, id\_2=2, hum=14, temp=25)

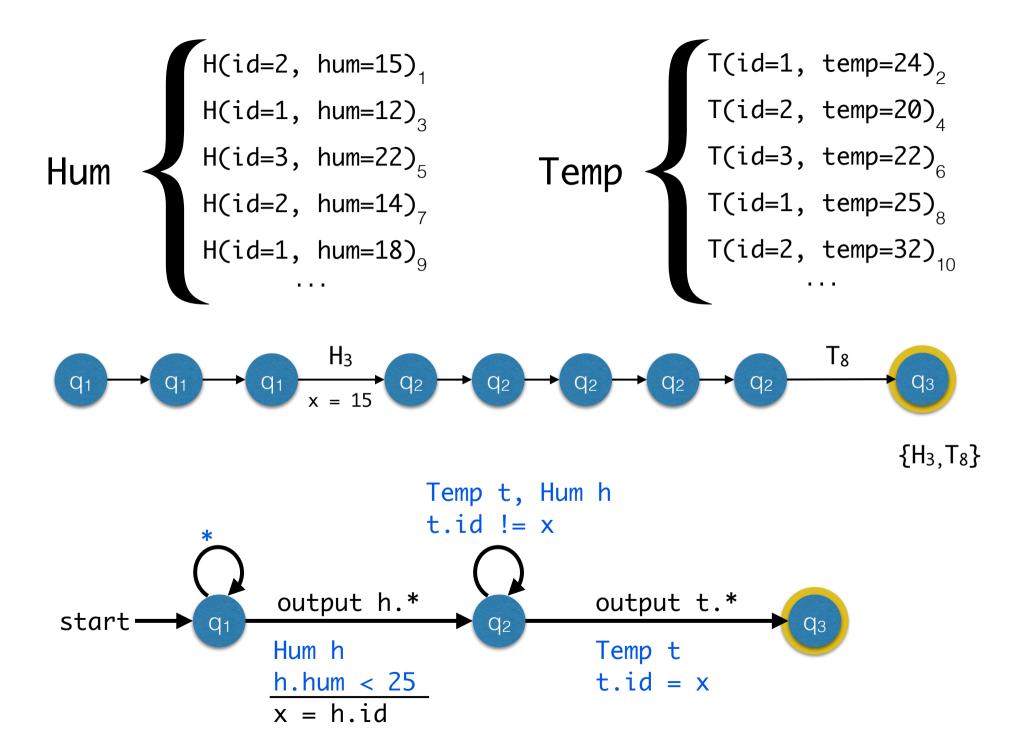
. . .

$$Hum \begin{cases} H(id=2, hum=15)_{1} \\ H(id=1, hum=12)_{3} \\ H(id=3, hum=22)_{5} \\ H(id=2, hum=14)_{7} \\ H(id=1, hum=18)_{9} \end{cases} Temp \begin{cases} T(id=1, temp=2d)_{4} \\ T(id=3, temp=22)_{6} \\ T(id=1, temp=25)_{8} \\ T(id=2, temp=32)_{10} \\ T(id=3, temp=32)_{10} \\ T(id$$

Hum 
$$H(id=2, hum=15)_{1}$$
  
H(id=1, hum=12)\_{3}  
H(id=3, hum=22)\_{5}  
H(id=2, hum=14)\_{7}  
H(id=1, hum=18)\_{9}  
...  $Temp$   $T(id=1, temp=24)_{2}$   
T(id=2, temp=20)\_{4}  
T(id=3, temp=22)\_{6}  
T(id=1, temp=25)\_{8}  
T(id=2, temp=32)\_{10}...

Hum NEXT{1.id = 2.id AND hum < 25} Temp





#### <Stream \$1> NEXT{<condition>} <Stream \$2>

Select every element from <Stream \$1> and <u>the next</u> element from <Stream \$2> satisfying the <condition>.

#### Iteration

<Stream \$1>
NEXT{<condition>} <Stream \$2>

NEXT{<condition>} <Stream \$2>

<condition> for filtering <Stream \$2> (like in NEXT)?

Condition to stop iterations?

Computations during iterations...

#### Iteration

<Stream \$1> FOLD{<NEXT\_condition>,
<stop\_condition>, <compute>} <Stream \$2>

Conditions have access to **\$1**, **\$2**, and **\$**. The latter refers to the *previous* iteration.

<compute> can do incremental computations

#### Iteration

Create a stream of all decreasing temperature streaks composed of more than three events.

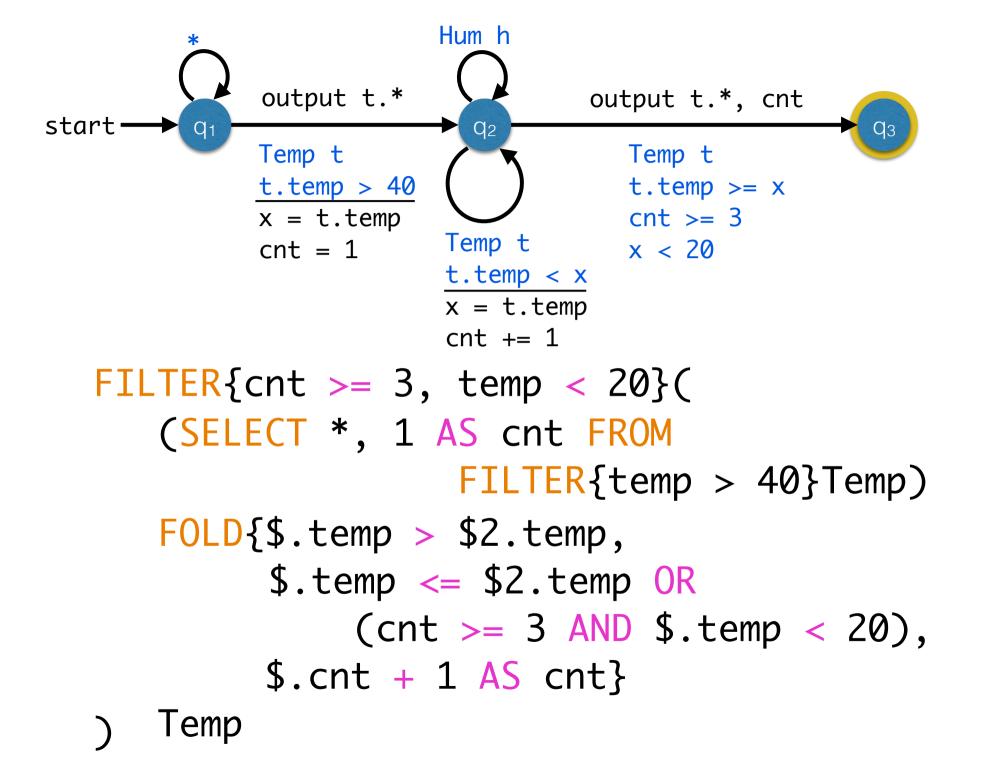
FILTER{cnt > 3}(
 (SELECT \*, 1 AS cnt FROM Temp)
 FOLD{\$.temp > \$2.temp,
 \$.temp <= \$2.temp,
 \$.cnt + 1 AS cnt } Temp
)</pre>

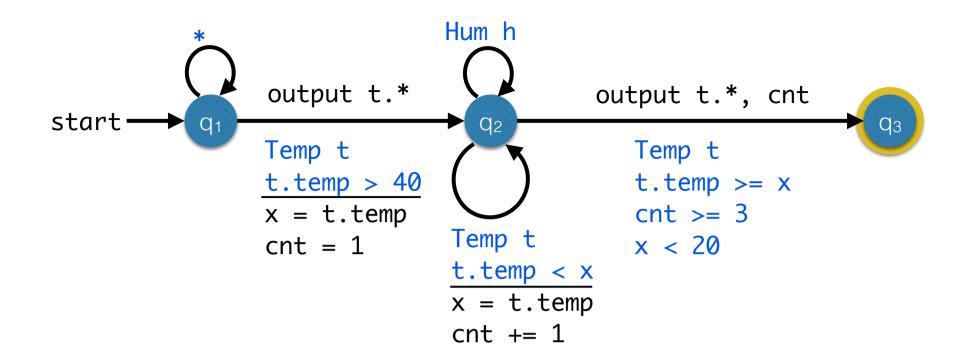
#### (id\_1=1, temp\_1=24, id\_2=1, temp\_2=17, cnt=4)

(id\_1=2, temp\_1=20, id\_2=1, temp\_2=17, cnt=3)

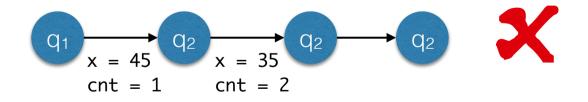
# Compilation

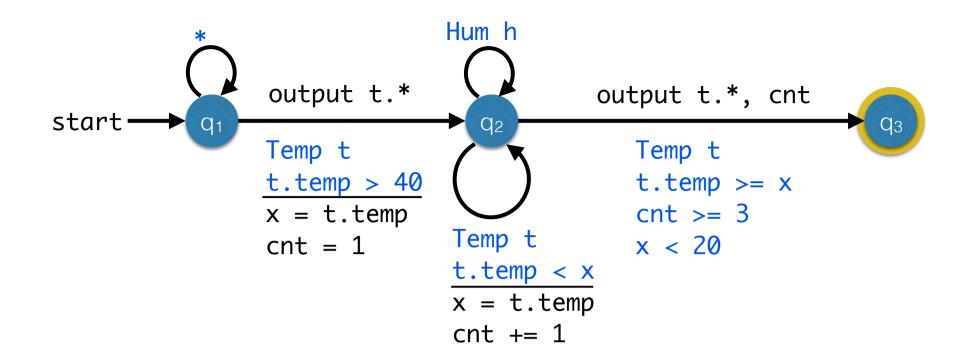
Notify me of decreasing temperature streaks of at least 3 events in which the temperature drops from above 40 to below 20



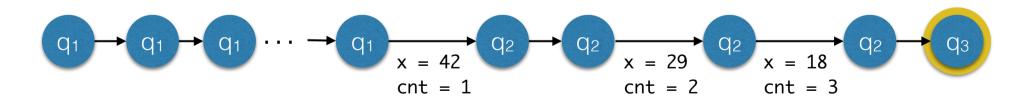








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# A simple (?) example

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

	Will never be selected!										
h	h	h	h	h	h	h	h	t	h	t	ť
80	85	84	78	79	75	82	80	15	76	16	9

```
FILTER{temp < 10}(
  (SELECT *, 1 AS cnt FROM Hum)
  FOLD{$2.hum > 70,
      ($.cnt > 4 AND $2.temp < 10) OR $2.hum <= 70,
      $.cnt + 1 AS cnt}
  (Hum NEXT{True} Temp)
}</pre>
```

# A simple (?) example

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

	Will never be selected!										
h	h	h	h	h	h	h	h	t	h	t	ť
80	85	84	78	79	75	82	80	15	76	16	9



# SASE / SASE+

On Complexity and Optimization of Expensive Queries in Complex Event Processing. Haopeng Zhang, Yanlei Diao, and Neil Immerman; SIGMOD 2014

SASE+: An Agile Language for Kleene Closure over Event Streams. Yanlei Diao, Neil Immerman and Daniel Gyllstrom; UMass Technical Report, 2007

*High-Performance Complex Event Processing over Streams.* Eugene Wu, Yanlei Diao and Shariq Rizvi; SIGMOD 2006

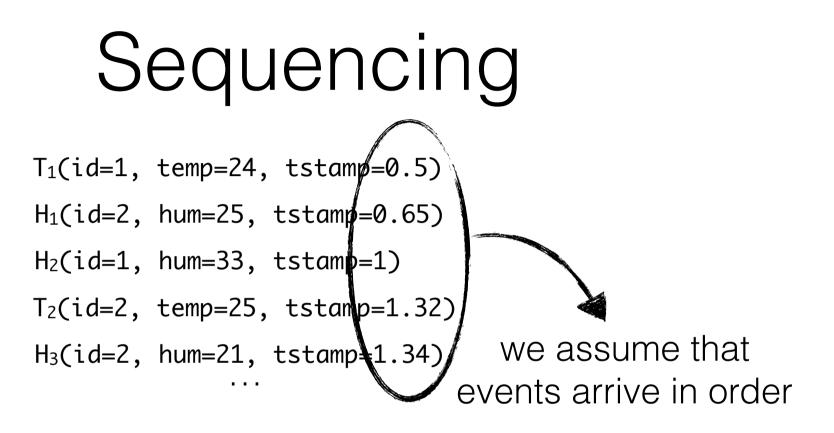
### Language

Single stream of timestamped events

Named relations with attributes

{ EVENT <event\_pattern>
 [WHERE <filter>]
 [WITHIN <time\_window>]

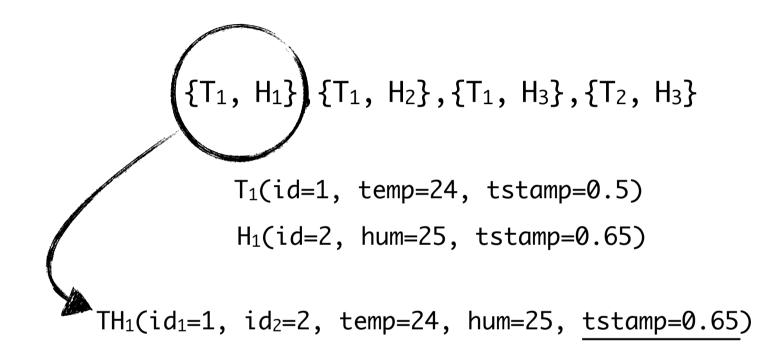
New stream, events contain <u>all attributes</u> (no projection)



#### EVENT SEQ(T t, H h)

"All pairs (temperature, humidity), such that temperature occurred before humidity"

 $\{T_1, H_1\}, \{T_1, H_2\}, \{T_1, H_3\}, \{T_2, H_3\}$ 



# Filtering

T<sub>1</sub>(id=1, temp=24, tstamp=0.5)

H<sub>1</sub>(id=2, hum=25, tstamp=0.65)

 $H_2(id=1, hum=33, tstamp=1)$ 

T<sub>2</sub>(id=2, temp=25, tstamp=1.32)

 $H_3(id=2, hum=21, tstamp=1.34)$ 

EVENT SEQ(T t; H h)
WHERE t.id = h.id

"All pairs (temperature, humidity), with the same id such that temperature occurred before humidity"

 $\{T_1, H_2\}, \{T_2, H_3\}$ 

### Time Windows

 $T_{1}(id=1, temp=24, tstamp=0.5)$   $H_{1}(id=2, hum=25, tstamp=0.65)$   $H_{2}(id=1, hum=33, tstamp=1)$   $T_{2}(id=2, temp=25, tstamp=1.32)$   $H_{3}(id=2, hum=45, tstamp=1.34)$  **EVENT SEQ(T t, H h) WHERE t.id = h.id** {T<sub>2</sub>, H<sub>3</sub>} **WITHIN 0.1 seconds** 

"All pairs (temperature, humidity), with the same id such that temperature occurred before humidity, but at most <u>0.1 seconds</u> before"

# Negation

T<sub>1</sub>(id=1, temp=24, tstamp=0.5)
H<sub>1</sub>(id=2, hum=25, tstamp=0.65)
H<sub>2</sub>(id=1, hum=33, tstamp=1)
T<sub>2</sub>(id=2, temp=25, tstamp=1.32)
H<sub>3</sub>(id=2, hum=45, tstamp=1.34)
{T<sub>2</sub>, H<sub>3</sub>}

```
EVENT SEQ(T t, !H h1, H h2)
WHERE t.id = h2.id1
```

"All pairs (temperature, humidity), with the same id such that humidity is the <u>first</u> humidity measurement after temperature"

#### SASE+

Multiple streams

Kleene closure

FROM <input\_stream>
[PATTERN <event\_pattern>]
[WHERE <filter>]
[WITHIN <time\_window>]
[HAVING <pattern\_condition>]
OUTPUT <output\_stream\_name>

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

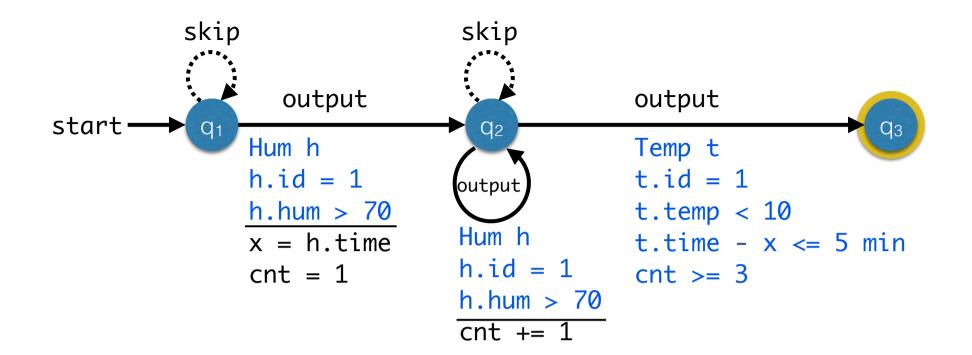
Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period

More <u>declarative</u>

WHERE h.id = 1 AND t.id = 1 AND h.hum > 70 AND t.temp < 10 WITHIN 5 minutes HAVING count(h) >= 3 OUTPUT Plantation Risk

# Compilation

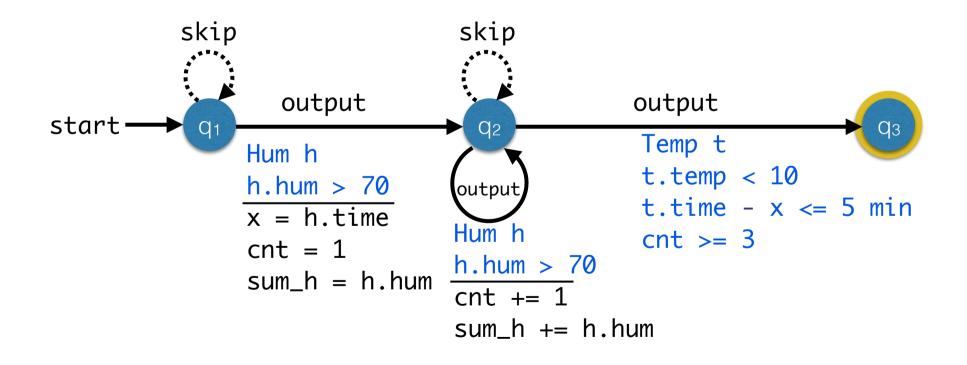




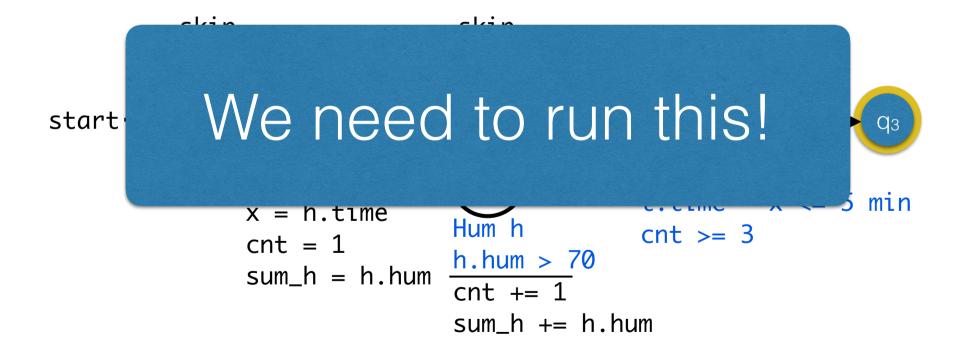
What about negation? Q<sub>2</sub> Temp t t.id = 1output t.temp <= 10 Hum h h.id = 1h.hum > 70cnt += 1 FROM HUM TEMP STREAM PATTERN SEQ(H+ h[], !T t1, T t2) WHERE h.id = 1 AND t1.id = 1 AND t2.id = 1 AND h.hum > 70 AND t1.temp >= 10 AND t2.temp < 10WITHIN 5 minutes HAVING count(h) >= 3**OUTPUT** Plantation Risk

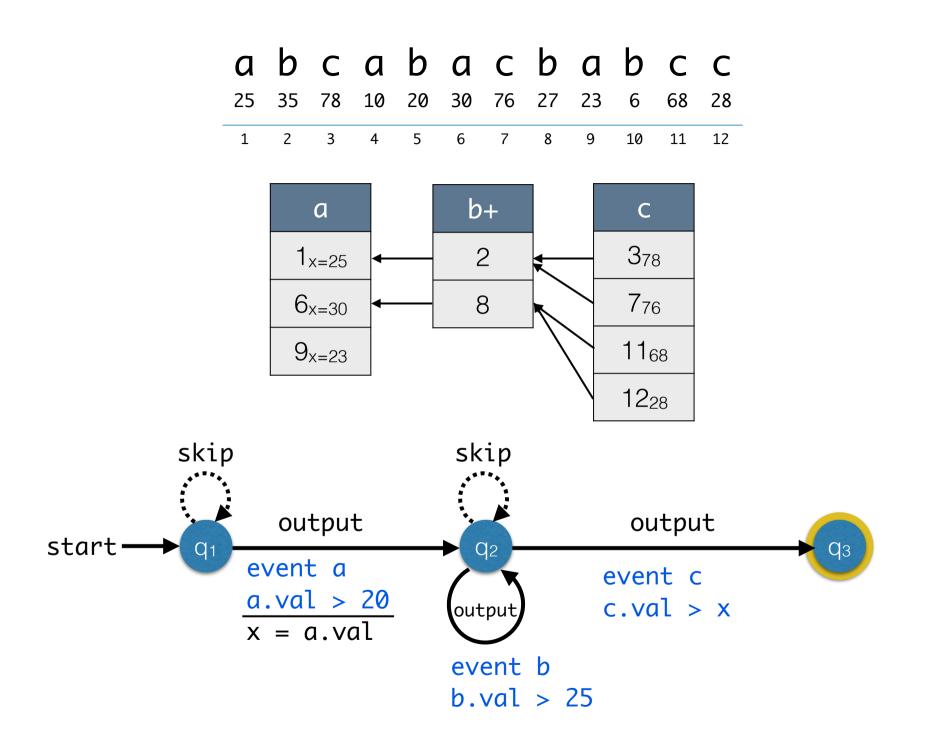


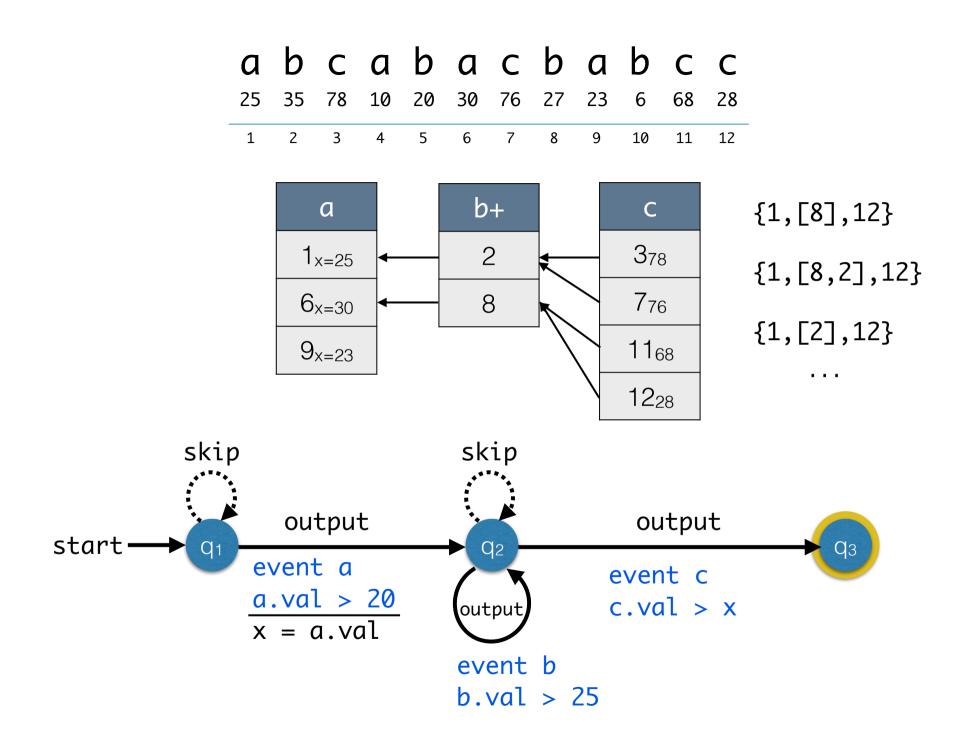
### Nondeterminism?

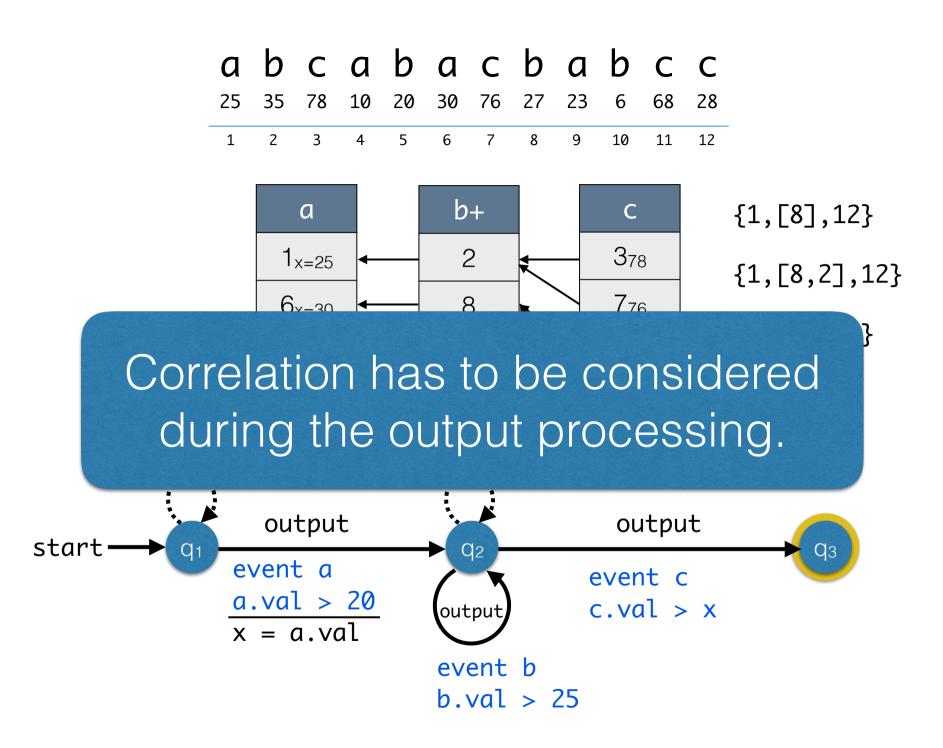


### Nondeterminism?









# Conclusions

CER operators: sequencing, iteration, filtering, ...

Multiple effective syntaxes proposed

Semantics (and sometimes syntax) not always clear

Previous research: deal with nondeterminism at runtime

# Unified Automata Model

Transitions evaluate formulas

Symbolic Automata

Need to store variables

**Register** Automata

Need to produce output

Transducer

# **Open Questions**

Is there a general evaluation strategy for this model?

What fragments of automata can be run efficiently?

Is there a language capturing the computational model?

What is the complexity of compiling queries into automata?

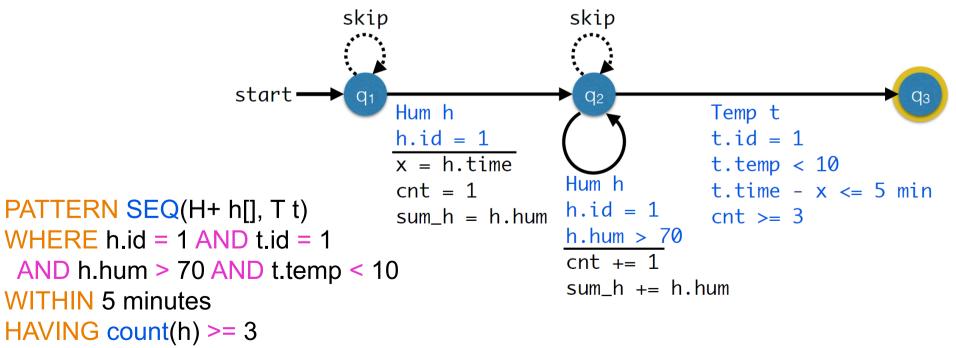
How do different operators affect this complexity?

# Part II

# Recap of the First Part

### **Operators for CER**

- Sequencing
- Kleene Closure
- Negation
- Filtering (predicates, time windows)
- Semantics of queries given by automata



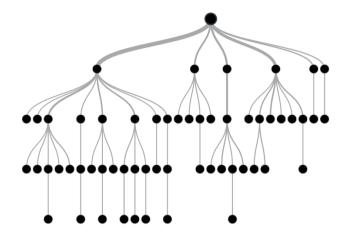
# **Tutorial Outline**

- 1. Introduction
- 2. Common CER operators and automata-based CER models
- 3. Tree-based models and methods
- 4. Logic-based models and methods
- 5. Outlook

# Tree-based Models Overview

#### Tree-based models may be used

- At design-time:
   Event pattern specification
- At run-time: Query plan for actual event recognition



#### Tree-based models are used in various systems

- Initial version of SASE
- ZStream
- Esper

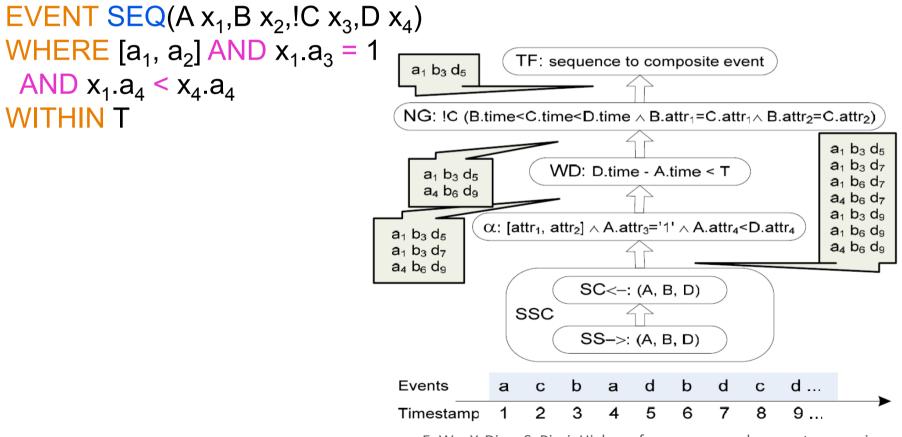
Y. Mei and S. Madden, *ZStream: A cost-based query processor for adaptively detecting composite events*. SIGMOD 2009: 193-206

N.P. Schultz-Møller, M. Migliavacca, P.R. Pietzuch: *Distributed complex event processing with query rewriting*. DEBS 2009

# **Chaining of Pattern Operators**

#### Query plans as a fixed operator tree

- Combine automata-based models with additional operators
- Example: Initial version of SASE (SIGMOD 2006):

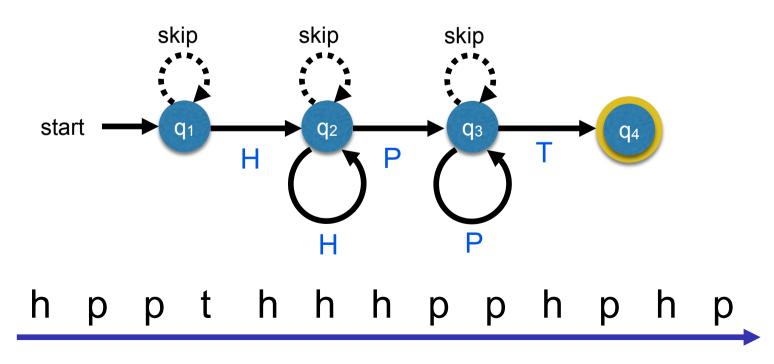


E. Wu, Y. Diao, S. Rizvi: High-performance complex event processing over streams. SIGMOD Conference 2006: 407-418

# Issues of Automata-based Models 1/3

### Fixed recognition order

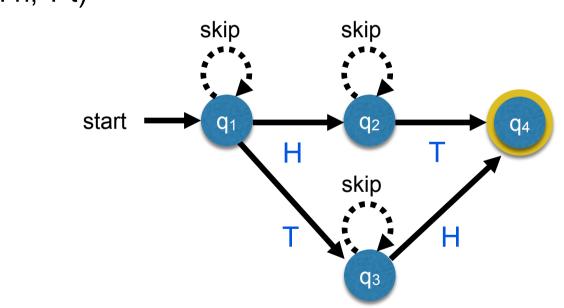
- Order of events in the definition of a pattern (pattern order) is also followed in the recognition procedure (recognition order)
- Problematic from a performance point of view if there are large differences in selectivities
- EVENT SEQ(H+ h[], P+ p[], T t)



# Issues of Automata-based Models 2/3

#### Forced sequential order

- Automata enforces ordering of events
- Pattern may not define any ordering

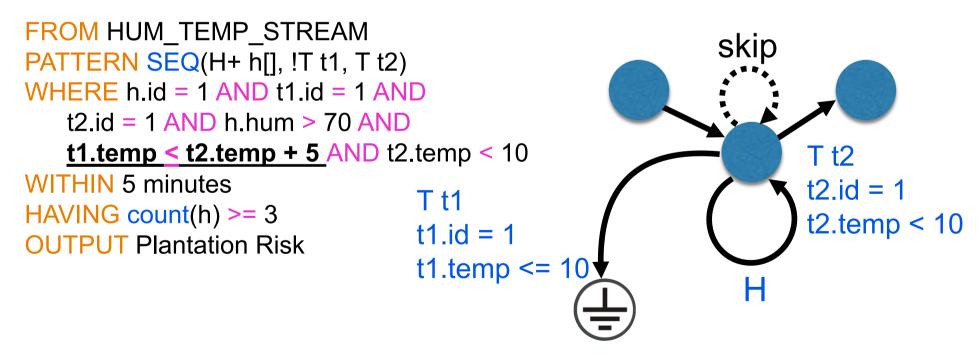


### EVENT UNION(H h, T t)

# Issues of Automata-based Models 3/3

#### Integration of negation

- Terminal states are applicable solely if correlation predicates can be evaluated with past events
- Consequence: Either limited expressiveness or need for additional filtering



How to use tree-based models for event pattern specification?

# **Tree-based Event Patterns**

### Main idea: Event pattern = operator tree

- Leaf nodes:

types of individual events to be recognised

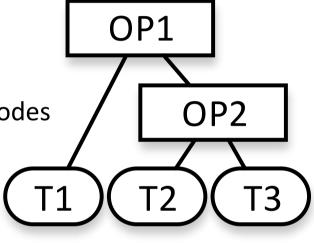
– Non-leaf nodes:

composite type, built from the types of child nodes

- Common composite types:
  - Sequence, conjunction, and disjunction
  - Negation, in combination with the above
  - Kleene closure as a trinary operator (start, closure, end)

#### Different representations of a single pattern

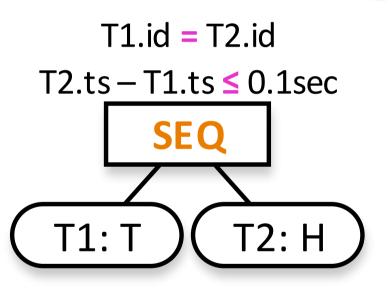
- Nesting of operators
- Predicates at different levels of the tree





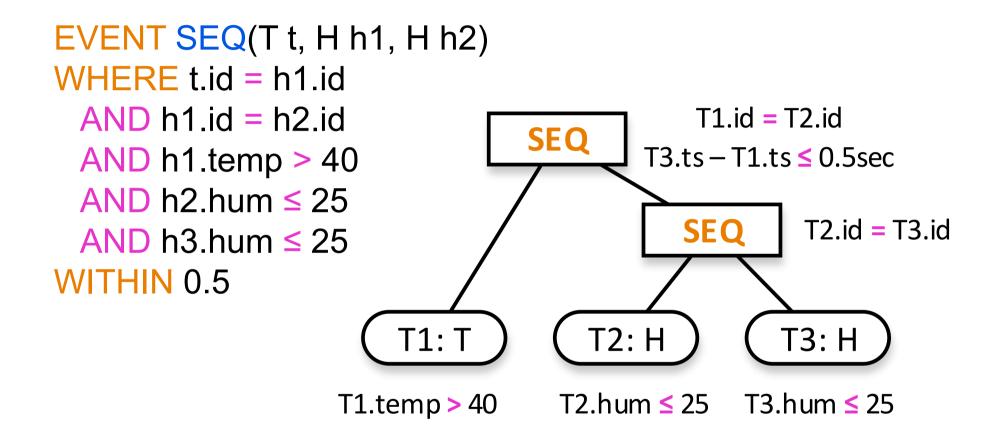
A wood fire is likely if low humidity is measured after high temperatures

EVENT SEQ(T t, H h) WHERE t.id = h.id WITHIN 0.1



"All pairs (temperature, humidity), with the same id such that temperature occurred before humidity, but at most 0.1 seconds before"

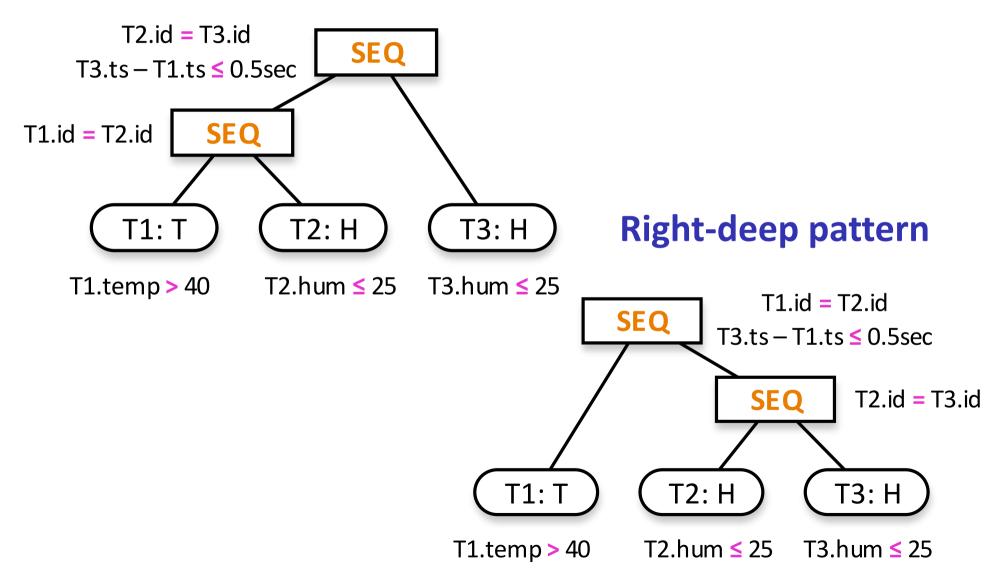
### Extended Example Pattern



"All triples (temperature, humidity, humidity) with the same id such that high temperature is followed by multiple low humidity within 0.5 seconds"

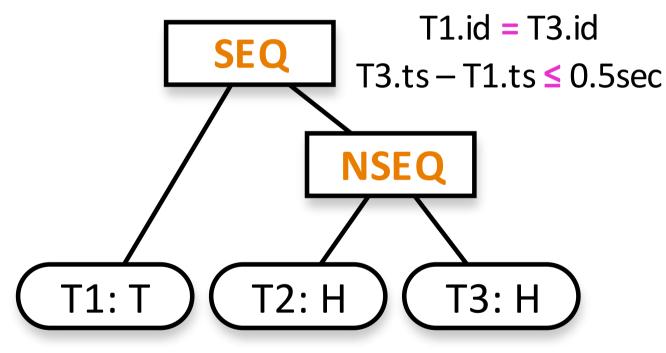
### **Extended Example Pattern Again**

### Left-deep pattern



### **Example Pattern with Negation**

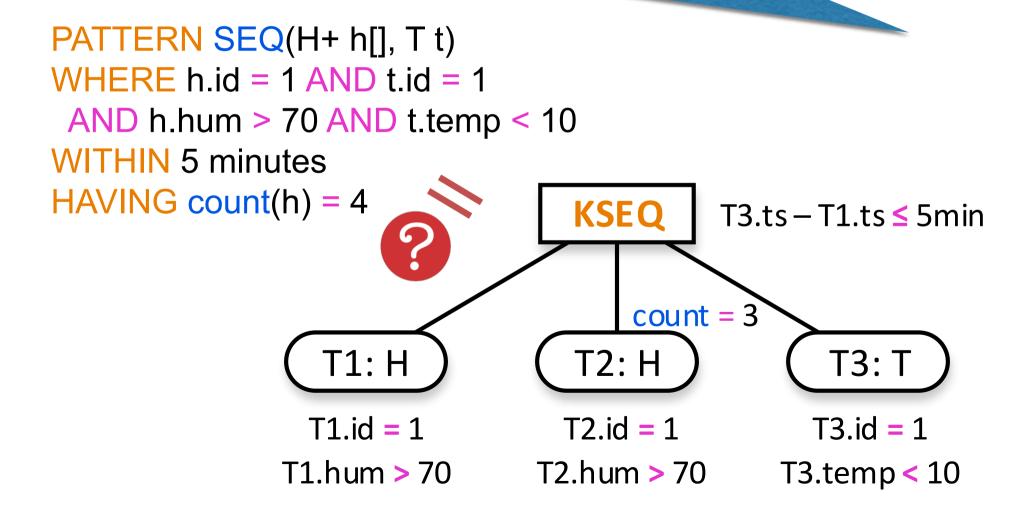
```
EVENT SEQ(T t, !H h1, H h2)
WHERE t.id = h2.id
WITHIN 0.5
```



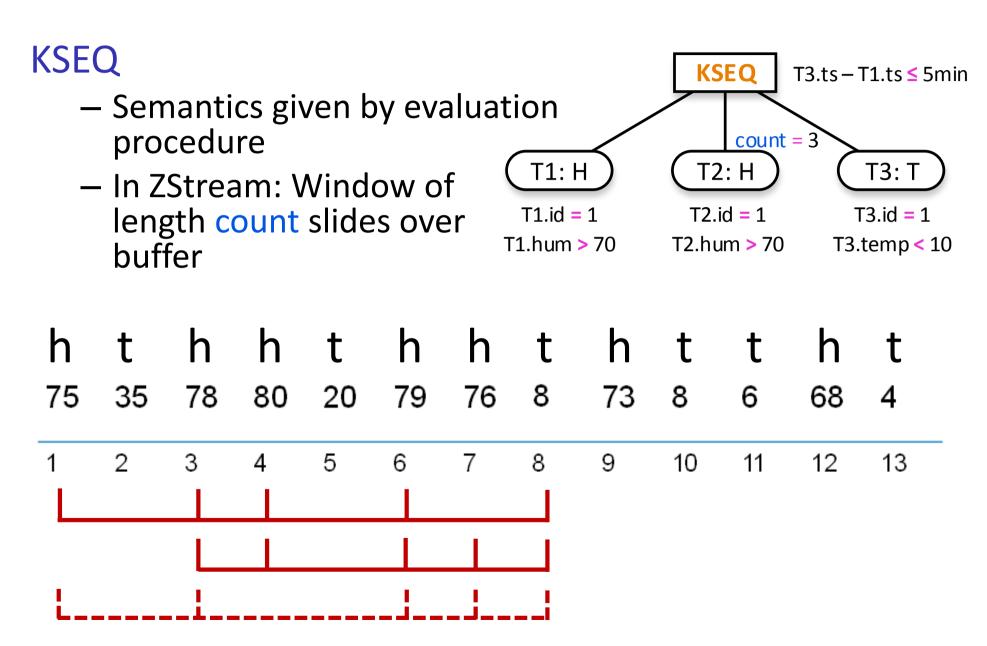
"All pairs (temperature, humidity), with the same id such that humidity is <u>the first</u> humidity measurement after temperature"

### Example Pattern with Kleene Closure

Plantations might be at risk if sensor 1 detects low temperatures after a high-humidity period



## Semantics of KSEQ



How to use tree-based models for event recognition?

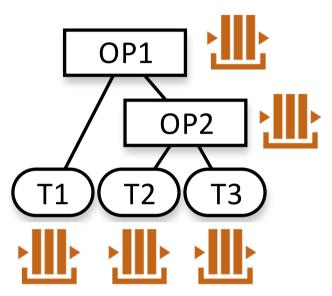
# **Event Recognition in ZStream**

### Main idea

- Each node is assigned an event buffer
- Events in buffers are ordered by timestamp
- Incoming events are put into leaf buffers
- Actual recognition is realised in iterations:
  - Idle rounds: Events are only inserted into leaf buffers
  - Assembly rounds: Evaluation of operators of non-leaf nodes

### Major advantage

 Intermediate events are assembled in a lazy manner



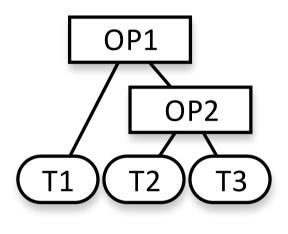
# ZStream's Batch Iterator Model

### Process incoming events in batches

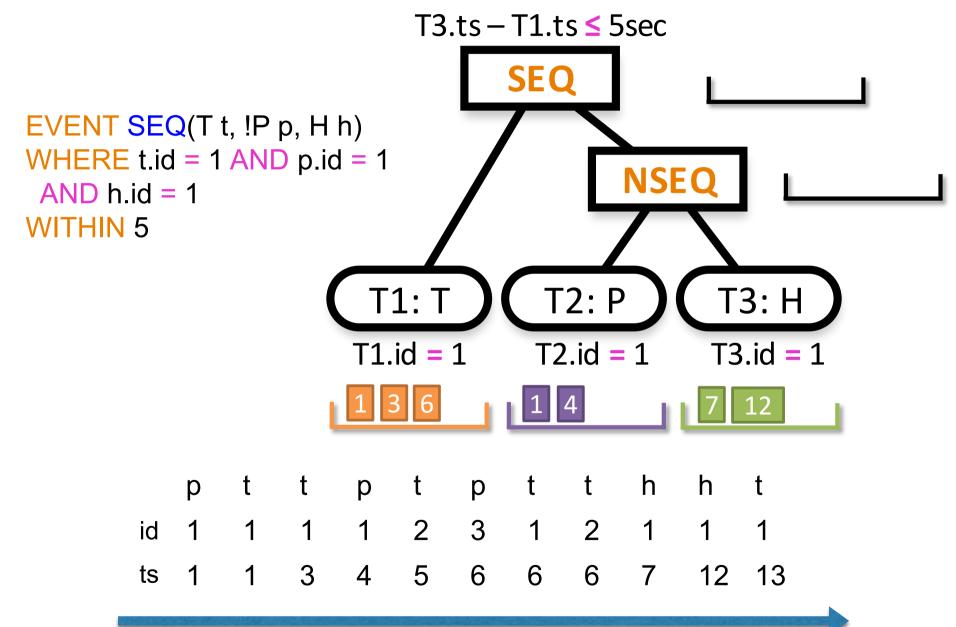
- To increase efficiency
- To increase robustness with respect to out-of-order arrival

### General procedure

- 1) Read batch of events into leaf buffers
- 2) If there is no event in final node of tree, read next batch
- 3) Otherwise, calculate *earliest allowed timestamp (EAT):* time of event in buffer of final node – time window
- 4) Filter buffers of the tree based on EAT
- 5) Assemble events from the leaves to root of the tree



### Example



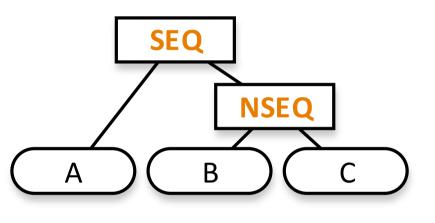
# **Evaluation of Negation Operator**

### Challenges:

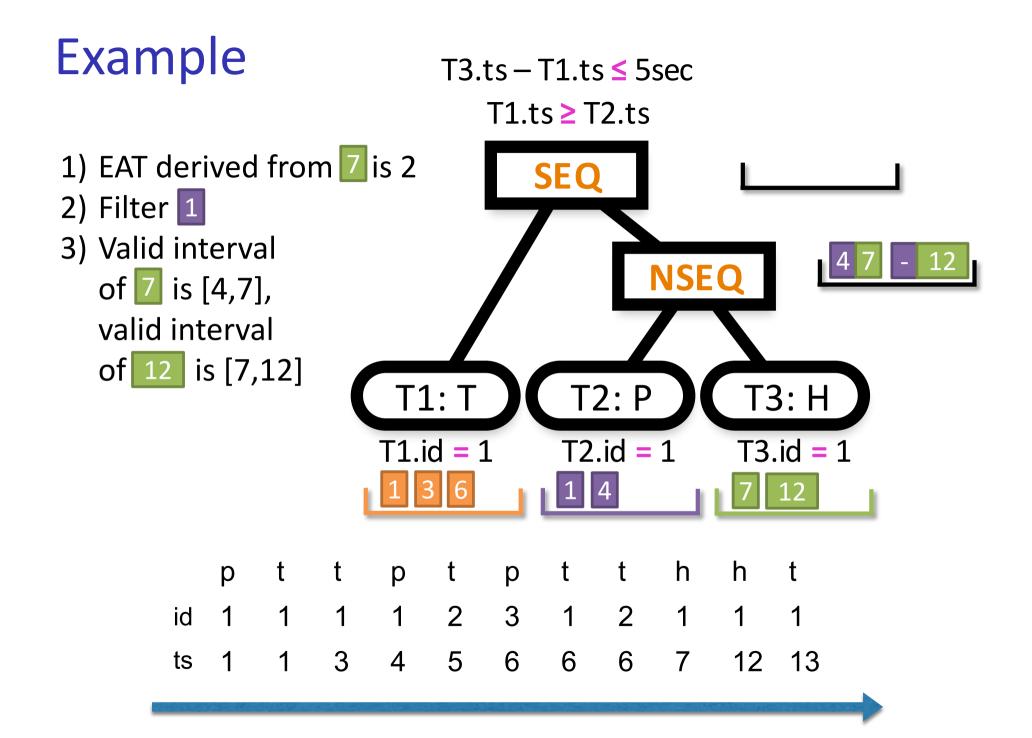
- Occurrence of event may invalidate intermediate composite events
- Filter-last approach induces large number of intermediate results

### Approach:

- Iterate over right child buffer (C), filter based on EAT
- Determine valid interval for each event in right child buffer (C) based on left child buffer (B)

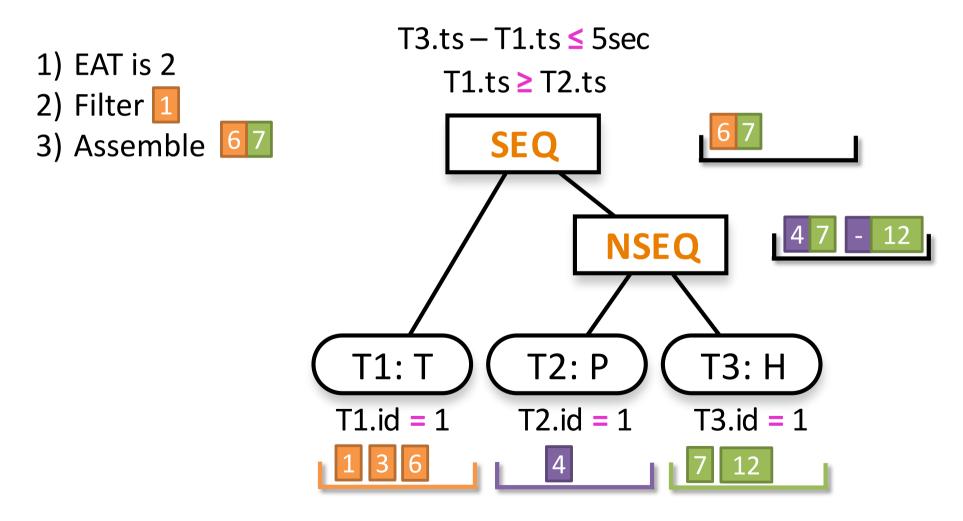


- Buffer of NSEQ contains for each event of C, the last event of B that negates it (or no event of B)
- Timestamp of B events in buffer of NSEQ is used by parent operator when assembling events



# **Evaluation of Sequence Operator**

Iterate over right and left child buffer, filtering based on EAT Check predicates for remaining events



# **Plan Optimisation**

**Opportunity:** Tree-based plans may be semantically equivalent, but differ in their efficiency

- Algebraic rewriting of trees
- Tuning of the evaluation of predicates
- Nesting/ordering of operators

### Approach:

- Derive best *logical* plan by evaluating equivalent candidate plans obtained by rewriting rules
- Derive best *physical* plan by determining the most efficient operator order

Evaluation of plans is driven by cost model

### **Cost Model**

Cost is CPU cost, as events reside in memory

Cost of a tree-based plan is the sum of

- Cost of accessing the input data:#input events touched
- (Weighted) cost of predicate evaluation:#predicates x #input events touched
- (Weighted) cost of assembling the output data:
   #events created

### **Cost of Sequence Operator**

Cardinality of events of type X that are active in time window, estimated as:

 $C_{X} = rate_{X} * window * type selectivity$   $C_{T1} = 10e/sec * 5sec * 1/10 = 5e$  $C_{T2} = 20e/sec * 5sec * 1/5 = 20e$ 

Cost of accessing the input data:  $C_{In} = C_{T1} * C_{T2} * ordering selectivity$  $= 5e * 20e * 1/2 = 50e^2$ 



Cost of accessing the output data:

 $C_{Out} = C_{In} * correlation selectivity$ =  $50e^2 * 1/5 = 10e^2$ 

# **Plan Transformations**

Idea: Rewriting rules preserve semantics

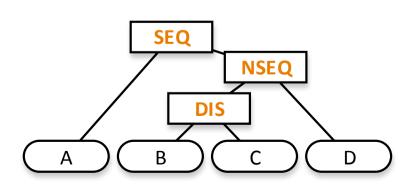
- Fixed set of rules that is applicable
- Outcome of applying a rule is assessed by cost model
- Heuristic search instead of optimal solution

PATTERN SEQ(A a, (!B b UNION !C c), D d)



PATTERN SEQ(A a, !(B b INTERSECT C c), D d)

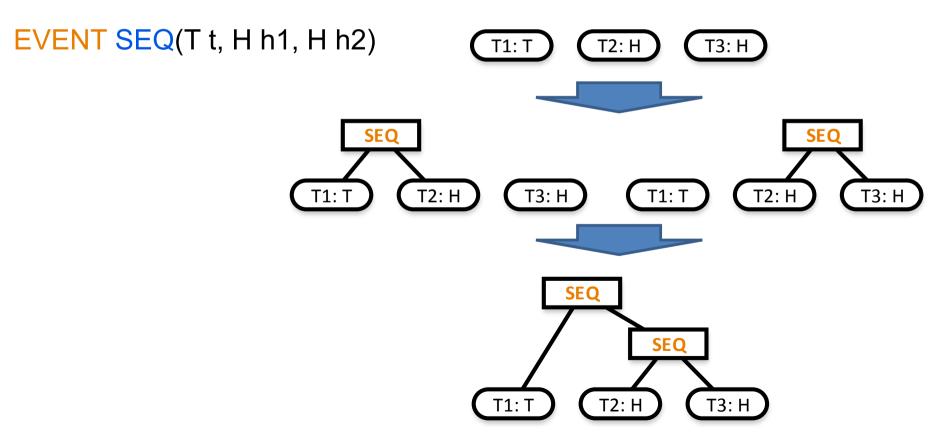




# **Plan Reordering**

Idea: Obtain most efficient operator nesting

- Nesting problem has optimal substructure
- Bottom-up approach, starting with optimal plan for pairs of event types



# Summary Tree-based Models

Trees of event operators...

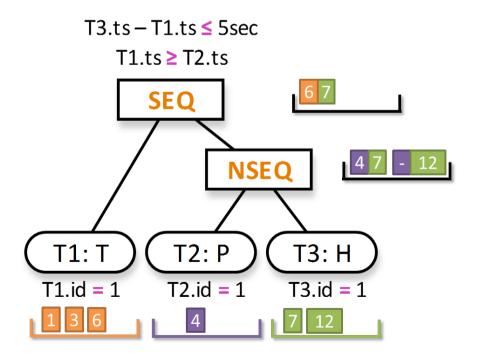
.... as a model to define composite events

.... as a model to conduct event recognition

# Enables optimisations of physical plan

- Incorporate selectivities
- Plan may be changed dynamically

Open: Comparison to automata-based models



### Part 3: Logic-based models and methods

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### Logic-based models: overview

- Mainly used for modeling
- Declarative approach
  - Specifies what not how
- Formal semantics of CER based on logic

# Logic-based models: overview

- Mainly used for modeling
- Declarative approach
  - Specifies what not how
- Formal semantics of CER based on logic
- Different variants
  - Support for static background knowledge
  - Support for dynamic changes in the background knowledge
  - Support for durative events
  - Support for metric temporal constraints
  - Support for out-of-order events
  - •

# Logic-based models: overview

- Various approaches to event recognition
  - Automata-based approaches
  - Tree-based approaches
  - Lazy evaluation
  - ▶ ...

#### Logic-based models: outline

- Chronicle Recognition System
- ► TESLA / T-Rex
- Real-Time Event Calculus (RTEC)
- ► ETALIS

Main references:

A. Artikis, A. Skarlatidis, F. Portet and G. Paliouras. *Logic-based event recognition.* The Knowledge Engineering Review 2012

G. Cugola and A. Margara. *TESLA: a formally defined event specification language.* DEBS 2010

A. Artikis, M. Sergot and G. Paliouras. *An Event Calculus for Event Recognition*. TKDE 2014

D. Anicic, S. Rudolph, P. Fodor and N. Stojanovic. *Stream reasoning and complex event processing in ETALIS.* Semantic Web 2012

# CE as Chronicle

- Chronicle: a set of events interlinked by time constraints and whose occurrence may depend on the context
  - Chronicles are used to define CEs

- CRS is based on reified temporal logic
- Discrete time
- Events + properties (to represent context information)
  - They can have parameters
- Predicates for event occurrence, absence, repetition, ...

# Chronicle Representation Algebra

Predicate	Meaning
event(E, T)	Event E takes place at time T
event(F:(?V1,?V2),T)	An event takes place at time T changing the value of property F from ?V1 to ?V2
noevent(E, (T1,T2))	Event E does not take place between [T1,T2)
noevent(F:(?V1,?V2),(T1,T2))	No event takes place between [T1,T2] that changes the value of property F from ?V1 to ?V2
hold(F:?V, (T1,T2))	The value of property F is ?V betweer [T1,T2)
occurs(N,M,E,(T1,T2))	Event E takes place at least N times and at most M times between [T1,T2)

# Chronicle Representation Language

```
chronicle abnormal_vessel_movement[?id](T2) {
  event( speedChange[?id], T0 )
  event( speedChange[?id], T1 )
  event( speedChange[?id], T2 )
  T1 > T0
  T2 > T1
  T2 - T0 in [1, 20000]
  noevent( turn[?id], ( T0+1, T2 ) )
}
```

# Chronicle Representation Language

- Purely temporal reasoning: mathematical operators in the atemporal constraints of the language are not allowed
  - No spatial reasoning (distance)
  - No use of background knowledge



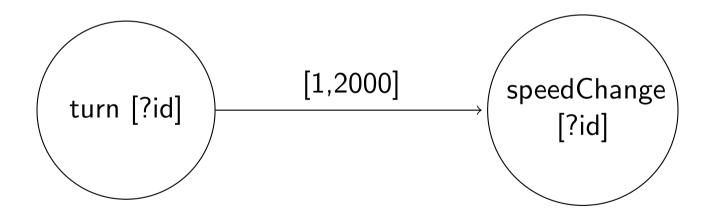
# Chronicle Representation Language

- Purely temporal reasoning: mathematical operators in the atemporal constraints of the language are not allowed
  - No spatial reasoning (distance)
  - No use of background knowledge
- Universal quantification is not allowed
  - Cannot express a property about *all* vessels in some area

# Chronicle Recognition System: Semantics

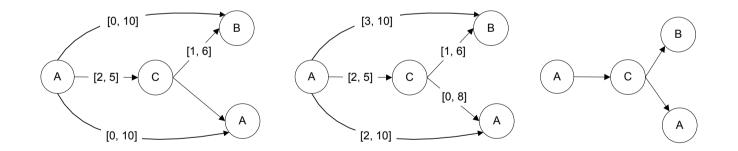
Pure temporal reasoning makes CRS efficient

Each CE definition is represented as a Temporal Constraint Network, as below



# Chronicle Recognition System: Compilation

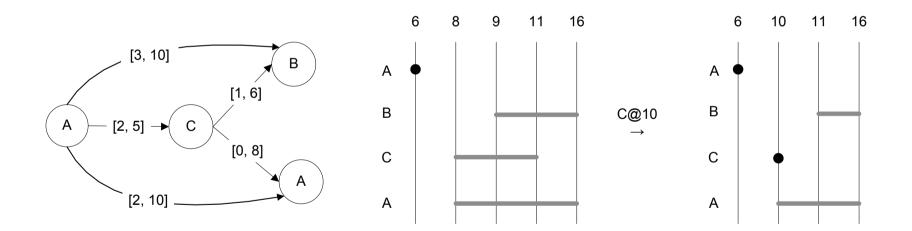
- Constraint propagation in the Temporal Constraint Network
  - Build the least constrained network
- Consistency checking
  - Detect inconsistencies at compile time

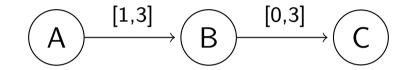


# Chronicle Recognition System: Recognition

Recognition stage

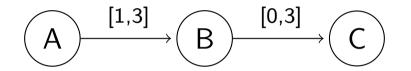
- Partial CE instance evolution
- Forward (incremental) recognition





time

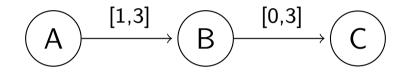
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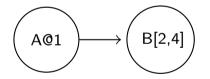
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*time* 

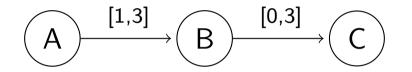
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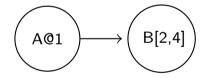




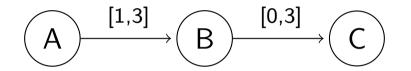
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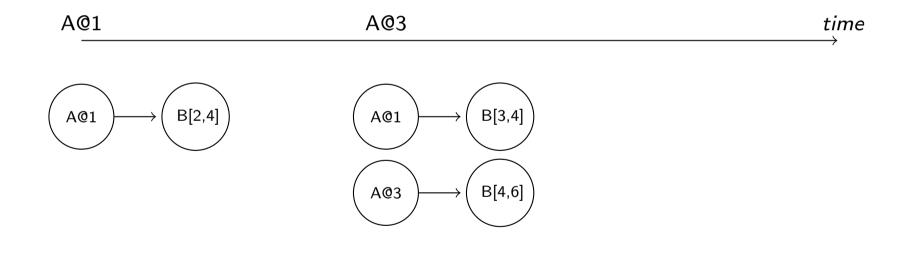




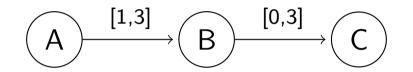


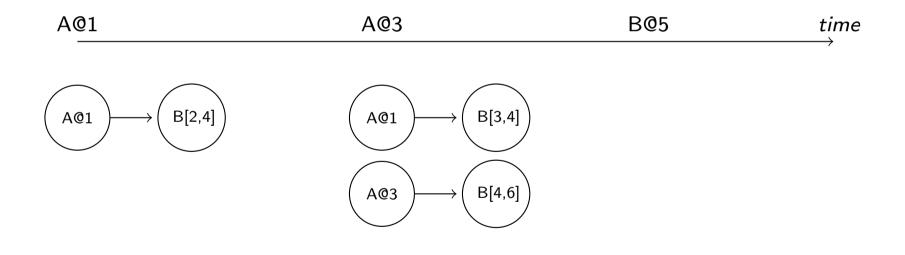
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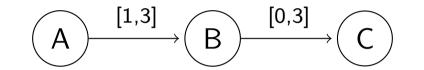


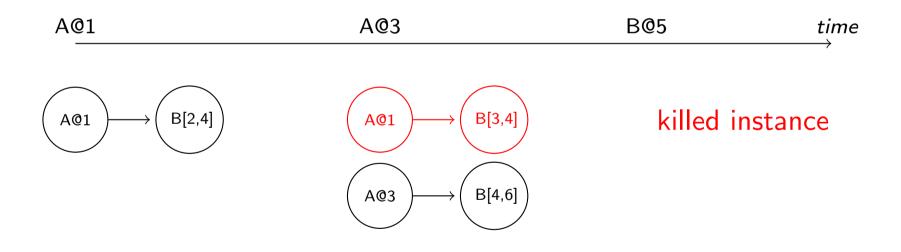


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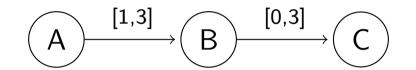


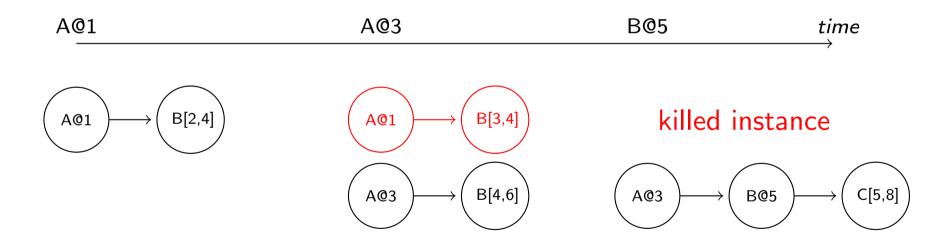




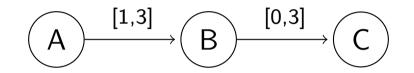


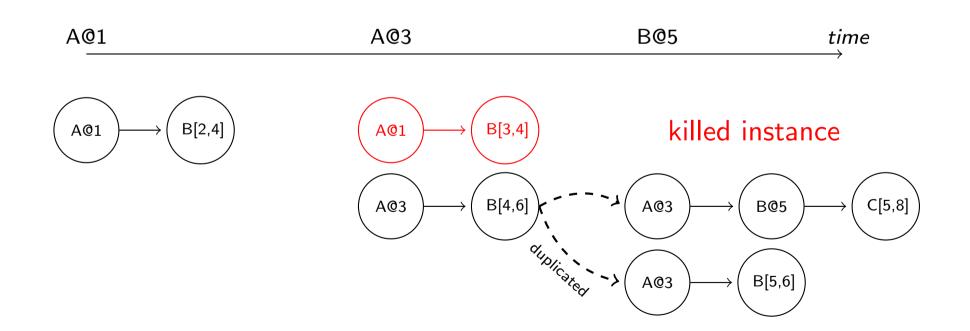
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Recognition stage — partial CE instance management

In order to manage all the partial CE instances, CRS stores them in trees, one for each CE definition

Recognition stage — partial CE instance management

- In order to manage all the partial CE instances, CRS stores them in trees, one for each CE definition
- Each event occurrence and each clock tick traverses these trees in order to kill some CE instances (tree nodes) or to develop some CE instances

Recognition stage — partial CE instance management

- In order to manage all the partial CE instances, CRS stores them in trees, one for each CE definition
- Each event occurrence and each clock tick traverses these trees in order to kill some CE instances (tree nodes) or to develop some CE instances
- The performance of CRS depends directly on the number of partial CE instances
- To deal with *out-of-order* events, CRS keeps in memory partial CE instances longer

# Chronicle Recognition System: Summary

- One of the earliest and most successful formal event processing systems
- Many of its features appear in modern event processing systems
- Efficient and scalable event recognition

# Chronicle Recognition System: Summary

- One of the earliest and most successful formal event processing systems
- Many of its features appear in modern event processing systems
- Efficient and scalable event recognition
- But: it is a purely temporal reasoning system

#### TESLA

Define Fire(area: string, temp: double)
From Humidity(percentage < 25 and area = \$a) and
last Temp(value > 40 and area = \$a)
within 5 min from Humidity
where area = Temp.area, temp = Temp.value

#### **TESLA** – Selection Policy

# Define Fire(area: string, temp: double) From Humidity(percentage < 25 and area = \$a) and last Temp(value > 40 and area = \$a) within 5 min from Humidity where area = Temp.area, temp = Temp.value

Combine Humidity only with the most recent Temp that satisfies the constraints

#### **TESLA** – Selection Policy

# Define Fire(area: string, temp: double) From Humidity(percentage < 25 and area = \$a) and each Temp(value > 40 and area = \$a) within 5 min from Humidity where area = Temp.area, temp = Temp.value

Combine Humidity with all Temp in the window of 5 minutes that satisfy the constraints

# TESLA – Consumption Policy

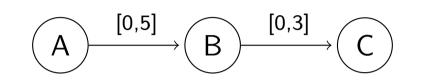
Define	Fire(area: string, temp: double)
From	Humidity(percentage $< 25$ and area $=$ \$a) and
	last Temp(value > 40 and area = $a$ )
	within 5 min from Humidity
where	area = Temp.area, temp = Temp.value
consuming	Temp

Temp is not available for further triggering of the rule

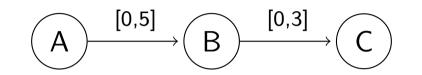
#### **TESLA:** Semantics

- All the operators have formal semantics
  - Based on metric temporal logic formulas
- Temporal patterns
  - Starting from an anchor point that determines when the complex event occurs
  - Temporal relations that specify when —in the past— other events must occur

- Initial implementation based on automata
  - AIP: Automata Incremental Processing
- Second (current) version based on *lazy evaluation* 
  - CDP: Column-based Delayes Approach
  - Similar to the *temporal focusing* optimization in CRS
  - Always wait for an event that might terminate a valid sequence

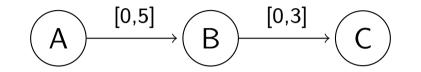


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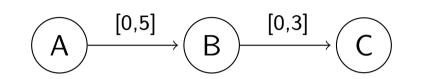


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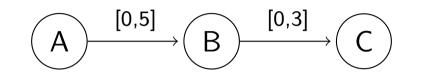


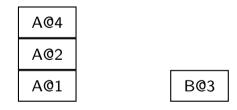
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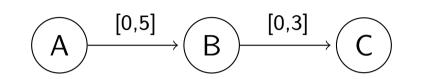


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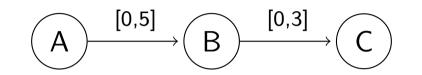


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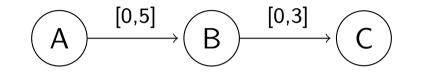
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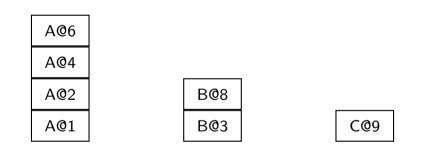
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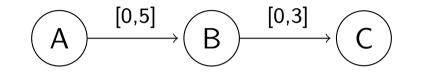
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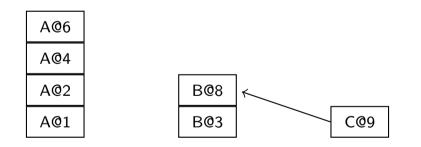
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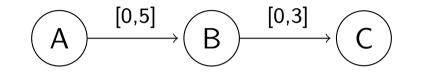


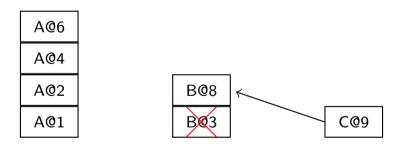


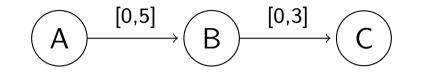
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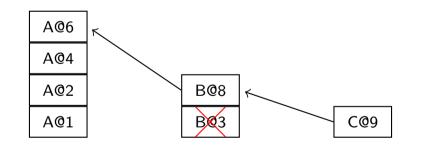


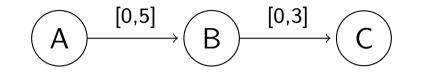


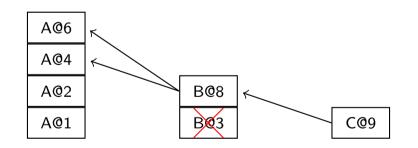


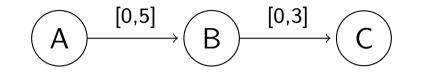


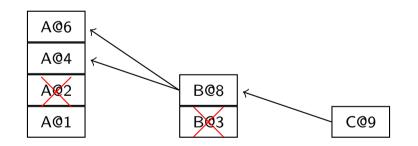


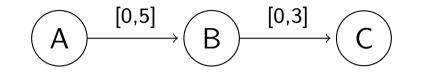


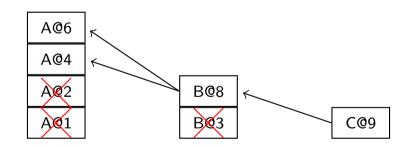












- Avoid useless computations
- Avoid duplications
- ► Simple memory layout → Arrays
  - Cache friendly!

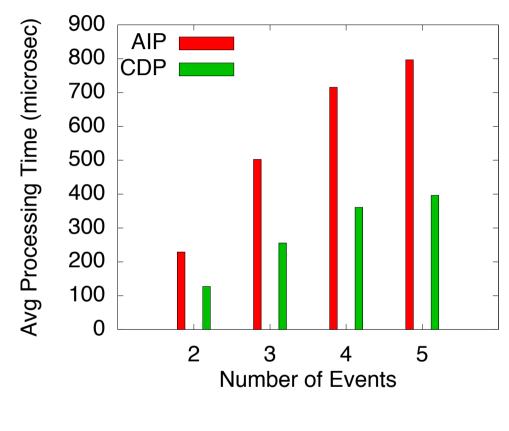


Figure: Each-within

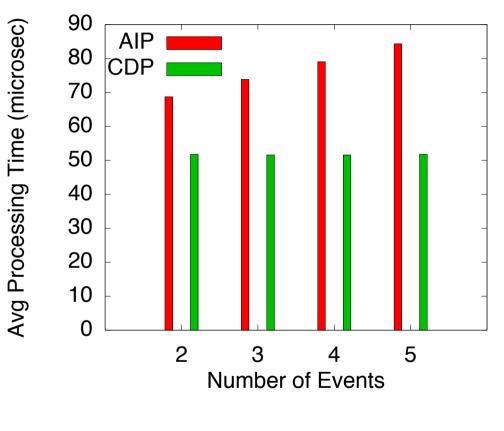
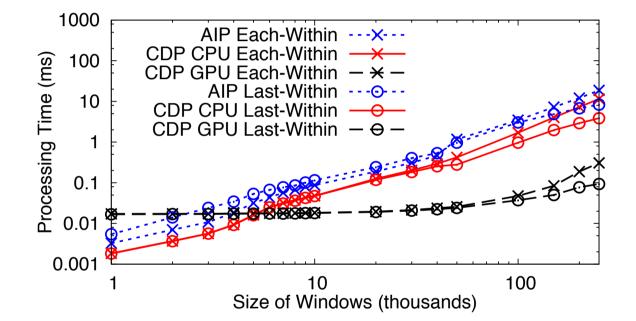


Figure: Last-within

- Avoid useless computations
- Avoid duplications
- Simple memory layout  $\rightarrow$  Arrays
  - Cache friendly!
- Suitable for data parallelism



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# TESLA / T-Rex: Summary

- Formal semantics based on metric temporal logic formulas
- Flexible event selection and consumtion policies
- Efficient and scalable event recognition
  - Support for parallel architectures
  - But: does not support out-of-order events
- But: does not support reasoning on background knowledge

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- But: does not support reasoning on background knowledge
- But: does not support durative events

### **Event Calculus**

- A logic programming language for representing and reasoning about events and their effects
- Key components
  - *event* (typically instantaneous)
  - fluent: a property that may have different values at different points in time

### **Event Calculus**

- A logic programming language for representing and reasoning about events and their effects
- Key components
  - event (typically instantaneous)
  - fluent: a property that may have different values at different points in time
- Built-in representation of *inertia* 
  - F = V holds at a particular time-point if F = V has been initiated by an event at some earlier time-point, and not terminated by another event in the meantime

# Run-Time Event Calculus (RTEC)

Predicate	Meaning
happensAt $(E, T)$	Event <i>E</i> occurs at time <i>T</i>
initiatedAt( $F = V, T$ )	At time $T$ a period of time for which $F = V$ is initiated
terminatedAt $(F = V, T)$	At time $T$ a period of time for which $F = V$ is terminated
holdsFor(F = V, I)	I is the list of the maximal intervals for which $F = V$ holds continuously
holdsAt(F = V, T)	The value of fluent <i>F</i> is <i>V</i> at time 7
union_all( $[J_1, \ldots, J_n], I$ )	$I = (J_1 \cup \ldots \cup J_n)$
intersect_all( $[J_1, \ldots, J_n], I$ )	$I = (J_1 \cap \ldots \cap J_n)$
relative_complement_all $(I', [J_1, \ldots, J_n], I)$	$I = I' \setminus (J_1 \cup \ldots \cup J_n)$

#### CE definition:

. . .

initiatedAt(CE, T)  $\leftarrow$ happensAt( $E_{In_1}$ , T), [conditions]

initiatedAt(CE, T)  $\leftarrow$ happensAt( $E_{In_i}$ , T), [conditions] terminatedAt(CE, T)  $\leftarrow$ happensAt( $E_{T_1}$ , T), [conditions]

terminatedAt(CE, T)  $\leftarrow$ happensAt( $E_{T_j}$ , T), [conditions]

where

conditions:  ${}^{0-K}$ happensAt $(E_k, T)$ ,  ${}^{0-M}$ holdsAt $(F_m, T)$ ,  ${}^{0-N}$ atemporal-constraint<sub>n</sub>

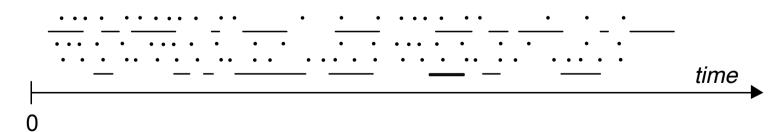
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CE recognition



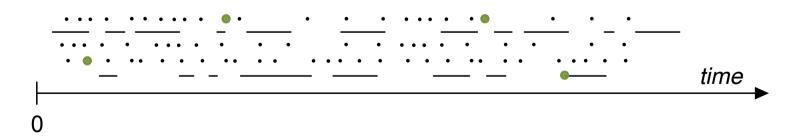
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CE recognition:



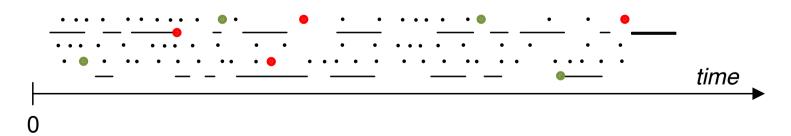
#### CE definition:

. . .

initiatedAt(CE, T)  $\leftarrow$ happensAt( $E_{In_1}$ , T), [conditions] terminatedAt(*CE*, *T*)  $\leftarrow$ happensAt(*E*<sub>*T*1</sub>, *T*), [conditions]

initiatedAt(CE, T)  $\leftarrow$ happensAt( $E_{In_i}$ , T), [conditions] terminatedAt(CE, T)  $\leftarrow$ happensAt( $E_{T_j}$ , T), [conditions]

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CE definition:

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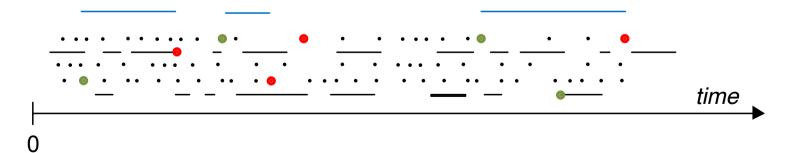
initiatedAt(CE, T)  $\leftarrow$  terminatedAt(CE, T)  $\leftarrow$ happensAt $(E_{ln_1}, T)$ , [conditions]

happensAt $(E_{T_1}, T)$ , [conditions]

initiatedAt(CE, T)  $\leftarrow$ happensAt( $E_{In_i}, T$ ), [conditions]

terminatedAt(CE, T)  $\leftarrow$ happensAt $(E_{T_i}, T)$ , [conditions]

CE recognition: **holdsFor**(CE, I)



CE definition:

initiatedAt( $leaving_object(P, Obj) = true, T$ )  $\leftarrow$ happensAt(appear(Obj), T), holdsAt(inactive(Obj) = true, T), holdsAt(close(P, Obj) = true, T), holdsAt(person(P) = true, T) terminatedAt( $leaving_object(P, Obj) = true, T$ )  $\leftarrow$ happensAt(disappear(Obj), T)

**CE** recognition: holdsFor(*leaving\_object*(*P*, *Obj*) = true, *I*)

CE Definitions in RTEC: Statically Determined Fluents

holdsFor(CE, I)  $\leftarrow$ holdsFor( $F_1$ ,  $I_{F_1}$ ), ..., holdsFor( $F_f$ ,  $I_{F_f}$ ), interval\_manipulation<sub>1</sub>( $I_{\alpha}$ ,...,  $I_{\omega}$ ), ..., interval\_manipulation<sub>k</sub>( $I_{A}$ ,...,  $I_{\Omega}$ )

where

interval\_manipulation( $I_1, \ldots, I_n, I$ ): union( $[I_1, \ldots, I_n], I$ ) intersection( $[I_1, \ldots, I_n], I$ ) relative\_complement( $I_1, [I_2, \ldots, I_n], I$ )

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# CE Definitions in RTEC: Statically Determined Fluents

CE definition:

```
\begin{aligned} \mathsf{holdsFor}(abnormal(Vessel) = \mathsf{true}, \ l) &\leftarrow \\ \mathsf{holdsFor}(slowMotion(Vessel) = \mathsf{true}, \ l_1), \\ \mathsf{holdsFor}(gap(Vessel) = \mathsf{true}, \ l_2), \\ \mathsf{holdsFor}(stop(Vessel) = \mathsf{true}, \ l_3), \\ \mathsf{union}([l_1, l_2, l_3], \ l) \end{aligned}
```

# CE Definitions in RTEC: Statically Determined Fluents

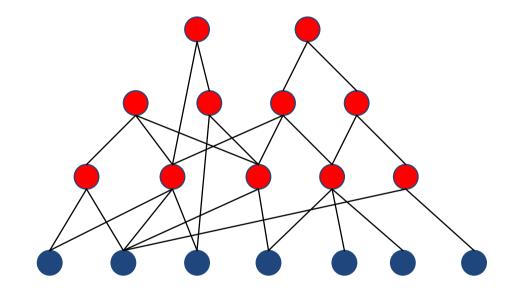
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```

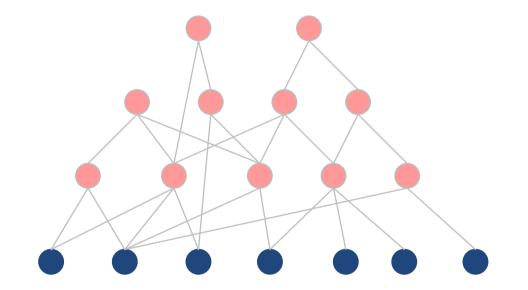
Shorthand:

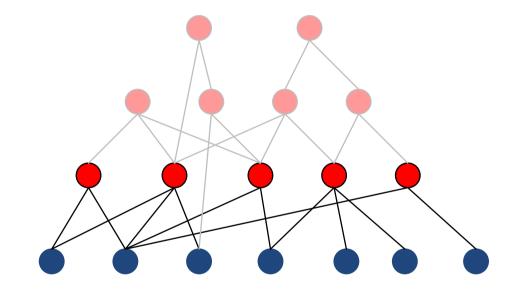
abnormal(Vessel) iff slowMotion(Vessel) or gap(Vessel) or idle(Vessel)

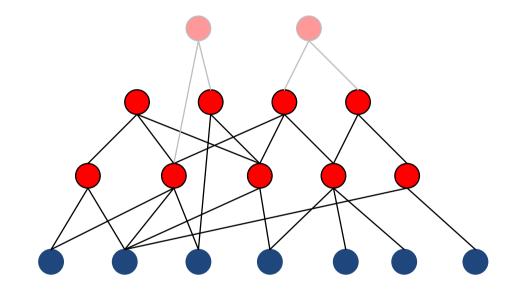
# **CE** Hierarchies

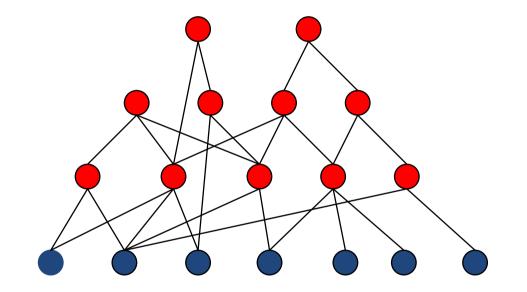


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## **Run-Time Event Recognition**

Real-time decision-support in the presence of

- Very large SDE streams
- Non-sorted SDE streams
- SDE revision
  - Need to retract, similar to database materialization update
- Very large CE numbers

## Run-Time Event Calculus: Windowing

- Windowing improves the performance of real-time event recognition
- Event recognition repeated periodically
  - User-defined period
- At evaluation time T only events that occurred in (T - W, T] are considered
- Incremental algorithm with addition and retraction
  - Incremental materialization of answers

## Run-Time Event Calculus: Summary

- The full power of logic programming is available
  - Complex atemporal computations
  - Combination of events streams with static knowledge

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## Run-Time Event Calculus: Summary

- The full power of logic programming is available
  - Complex atemporal computations
  - Combination of events streams with static knowledge
- Very efficient reasoning
  - Even when event streams arrive with a delay
  - Even in the presence of large specifications
- But: The Event Calculus does not have built-in support for long-term temporal constraints

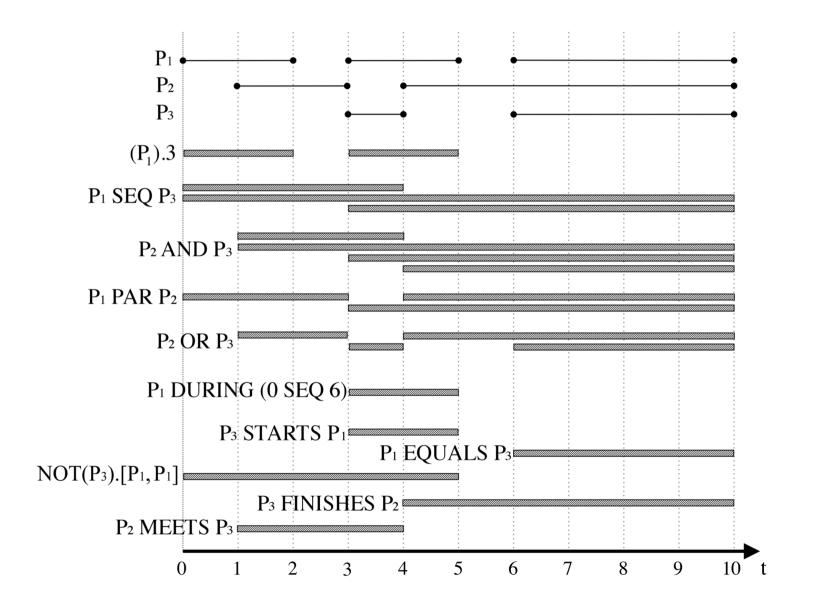
## **ETALIS:** Overview

- ► As in RTEC, full power of logic programming
  - Complex atemporal computation
  - Combination of event streams with static knowledge

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- ► As in RTEC, full power of logic programming
  - Complex atemporal computation
  - Combination of event streams with static knowledge
- Events have duration
  - Support for Allen's temporal operators

## **ETALIS**: Temporal operators



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## **ETALIS**: Example

Lack of metric temporal constraints!

- Based on trees
- Binarization of operators



#### $\mathsf{E} \gets \mathsf{A} \; \mathsf{SEQ} \; \mathsf{B} \; \mathsf{SEQ} \; \mathsf{C}$

Translated to:

 $E \leftarrow E1 SEQ C$  $E1 \leftarrow A SEQ B$ 

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# $E \leftarrow E1 SEQ C$ $E1 \leftarrow A SEQ B$

- Transalted to Prolog rules that modify a database of facts
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- Transalted to Prolog rules that modify a database of facts
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- ► When to *retract* E1?
  - Depends on the *consumption* policy

## **ETALIS:** Summary

- Full power of logic programming
- Events with duration
- Complex temporal operators
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- Full power of logic programming
- Events with duration
- Complex temporal operators
- Efficient event recognition based on standard Prolog
- But: no build-in predicate to change the value of some global properties
- But: no metric temporal operators

# Outlook



## Requirements for Complex Event Recognition Languages

- Instantaneous events.
- Durative events.
  - Open intervals.
- Context information.
- Relational events.
- No limit on the temporal distance between the events comprising a composite activity (no 'WITHIN' constraint).
- Concurrency constraints.
- Atemporal reasoning.
- Event hierarchies.

### Outlook

There is a need for a systematic, formal comparison of:

- Ianguage expressivity;
- recognition complexity.

Some steps towards this have already been taken.

Goal: find the appropriate language (subset) to address the requirements of a given application.

### Outlook for Automata

#### **Recall:**

CER automata are symbolic transducers equipped with registers.

- Is there a general evaluation strategy for this model?
- What fragments of automata can be run efficiently?
- Is there a language capturing exactly the CER patterns that can be expressed by these automata?
- What is the complexity of compiling queries into automata ?
- How do different operators affect this complexity?

## **Outlook for Tree-based Models**

#### **Recall:**

Trees of event operators serve as an operational model for event recognition.

- What is the language that can be represented in this model?
- What is the runtime complexity?
- How to determine whether to use tree-based models or automata?
- What about hybrid approaches that combine both types of models?

## **Outlook for Logic-Based Models**

#### **Recall:**

Logic based models provide formal semantics to CER

- What is the language that can be represented in logic-based models?
- What is the relation between the different logic-based formalisms?
- What is the runtime complexity of each model?
  - How does each feature contribute to the complexity?
    - Durative events
    - Out-of-order events
    - Background knowledge
    - ...
- What is the most efficient recognition algorithm for each model?